in the same form or in an amended form, for the registration
of the alleged trade-mark, in which case the same proceedings shall be had as on an original application for registration,
but a new certificate of registration shall be issued only for
the unexpired part of the original term of registration. Such
new registration shall take effect upon the issue of the new
certificate.

# 8 FRAUDULENT REGISTRATION—DAMAGES.

9 SEC. 23. That a person who shall procure the registra-10 tion of a mark, the record of an assignment thereof or of an 11 entry respecting a mark in the office of the Commissioner of 12 Patents by a false or fraudulent representation or declaration, 13 orally or in writing, or by any fraudulent means, shall be lia-14 ble to pay to the injured party the damages sustained in con 15 sequence thereof, to be recovered in action on the case.

16 TRANSFER OF TRADE-MARK.

SEC. 24. That every registered trade-mark and every 17 mark for the registration of which application has been made, 18 together with the application for registration of the same, 19 20 shall be assignable in connection with the good will of the 21Such assignment must be business in which the mark is used. by instrument in writing, which shall be filed in the Patent 22 Office within three months after it shall take effect, by deliv-23ery or otherwise, and a notice thereof shall be published in 24 the Official Gazette. In default of such filing the application 25

or registration shall lapse at the end of three months after the assignment shall take effect. Such may be made in the proper case by a trustee in bankruptcy or by an officer of a court of the United States or of a State having jurisdiction over the person of the registrant, provided always that the good will of the business in which the mark is used is included in such assignment.

8 If any such assignment shall be acknowledged before 9 any notary public of the several States or Territories or the 10District of Columbia, or any commissioner of the United 11 States circuit court, or before any secretary of legation or 12consular officer authorized to administer oaths or perform 13notarial acts under section seventeen hundred and fifty of the 14 Revised Statutes, the certificate of such acknowledgment, 15 under the hand and official seal of such notary or other 16officer, shall be prima facie evidence of the execution of such 17 assignment.

# 18 REGISTERED TRADE-MARKS MUST BE MARKED AS SUCH.

19 SEC. 25. That it shall be the duty of the registrant to 20 give notice to the public that the trade-mark is registered, 21 either by affixing thereon the words "Registered in the United 22 States," together with the day and year the certificate of reg-23 istration was granted at length, or abbreviated thus: "Reg. 24 U. S. 1, 2, 99," with the day and month or year indicated by 25 numerals; or when, from the character or size of the trade-

mark, or from its manner of attachment to the article to which 1 it belongs, this can not be done, then by affixing a label con-2 taining the like notion to the package wherein are inclosed 3 one or more of the articles to which the trade-mark is attached; 4 and in any suit for infringement by a party failing so to give 5 6 notice of registration no damages shall be recovered by the plaintiff except on proof that the defendant was duly notified 7 8 of the infringement, and continued the same after such notice. 9 PENALTY FOR FALSELY MARKING AN UNREGISTERED

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# TRADE-MARK.

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11 SEC. 26. That every person who, for the purpose of deceiving the public, in any manner marks upon or affixes to 1213 any unregistered mark or trade-mark, or upon the package 14 containing articles to which unregistered marks or trade-15 marks are affixed, the words "Registered in the United" States," or any words importing that the same is registered 16 17in the United States, shall be liable for every such offense to 18 a penalty of not less than one hundred dollars, with costs; 19 one-half of said penalty to the person who shall sue for the same, and the other half to the use of the United States, to 20be recovered by any person by suit in a circuit court of the  $\mathbf{21}$ 22United States within whose jurisdiction such offense may 23have been committed.

24 ACTIONS FOR INFRINGEMENT—DAMAGES.

25 SEC. 27. That damages for the infringement of any 26 registered trade-mark may be recovered by action on the case, in the name of the party interested, whether as registrant or
assignee. And whenever in any such action a verdict is
rendered for the plaintiff the court may enter judgment thereon
for any sum above the amount found by the verdict as the actual
damage sustained, according to the circumstances of the case,
not exceeding three times the amount of such verdict, together
with the costs.

8 PLEADINGS AND PROOFS IN SUCH ACTIONS.

9 SEC. 28. That in any action for infringement of a reg-10 istered trade-mark the defendant may plead the general issue, 11 and having given notice in writing to the plaintiff, or his 12 attorney, thirty days before, may prove on the trial any one 13 or more of the following special matters:

14 First. That, for the purpose of deceiving the public, the 15 application for registration contained a false statement; or

16 Second. That the alleged trade-mark is used in an unlaw-17 ful business, or upon an article injurious in itself; or

18 Third. That the said mark is used with design to deceive19 the public in the purchase of merchandise; or

Fourth. That the registration has expired because of the expiration of the registration of the same mark in the country of its origin.

And in notices as to proof of false statement the defendant shall state the names and residences of the persons alleged to have knowledge of the facts contained in the statement; and if any one of the special matters alleged, shall be found 1 for the defendant, judgment shall be rendered for him, with 2 costs; and the like defenses may be pleaded in any suit in 3 equity for relief against an alleged infringement; and proofs 4 of the same may be given upon like notice in the answer of 5 the defendant, with the like effect.

6 POWER OF COURTS TO GRANT INJUNCTIONS AND ASSESS

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# DAMAGES-TO IMPANEL JURY.

8 SEC. 29. That the several circuit courts of the United 9 States shall have power to grant injunctions according to the 10 course and principles of courts of equity, on such terms as the 11 court may deem reasonable, to prevent the use by another 12than the registrant or his assignee of a registered trade-mark 13in commerce with foreign nations or among the several States 14 or with the Indian tribes, or the violation of any right under 15any such registered trade-mark; and upon a decree being 16rendered in any such case against the defendant the com-17plainant shall be entitled to recover, in addition to the profits 18 to be accounted for by the defendant, the damages the complainant has sustained by reason of the acts of the defendant; 19and the court shall assess the same or cause the same to be 2021assessed under its direction.

The court shall have the same power to increase such damages, in its discretion, as is given to increase the damages found by verdicts in actions in the nature of actions of trespass on the case. But in any suit or action brought for the infringement of any registered trade-mark there shall be no
 recovery of profits or damages for any infringement committed
 more than six years before the filing of the bill of complaint
 or the issuing of the writ in such suit or action.

The said court, when sitting in equity for the trial of such .5 6 causes, may impanel a jury of not less than five and not more 7 than twelve persons, subject to such general rules in the prem-8 ises as may, from time to time, be made by the Supreme 9 Court, and submit to them such questions of fact arising in 10 such cause as such circuit court shall deem expedient. And 11 the verdict of such jury shall be treated and proceeded upon 12in the same manner and with the same effect as in the case 13of issues sent from chancery to a court of law and returned 14 with findings of fact.

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15 AN ACTION CAN NOT BE MAINTAINED, WHEN.

16 SEC. 30. That no action or suit shall be maintained under 17 the provisions of this Act for the infringement of a mark used 18 by the registrant in an unlawful business or upon any article 19 injurious in itself, or of a mark used by him with the design 20 of deceiving the public in the purchase of merchandise, or 21 under any certificate of registration fraudulently obtained.

# 22 OFFENSES AGAINST TRADE-MARKS.

SEC. 31. That a person who knowingly, for use in commerce with foreign nations, or among the several States, or
with the Indian tribes:

1 First. Falsely makes or counterfeits a registered trade-2 mark; or

Second. Affixes to any article of merchandise a false or counterfeit registered trade-mark, knowing the same to be false or counterfeit, or the genuine registered trade-mark or an imitation of the registered trade-mark of another, without the latter's consent; or

8 Third. Sells or keeps or offers for sale an article of 9 merchandise to which is affixed a false or counterfeit regis-10 tered trade-mark, or the genuine registered trade-mark of 11 another or an imitation of the registered trade-mark, without 12 the latter's consent; or

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Fourth. Has in his possession a counterfeit registered
trade-mark, knowing it to be counterfeit, or a die, plate, brand,
or other thing for the purpose of falsely making or counterfeiting a registered trade-mark; or

Fifth. Makes or sells, or offers to sell or dispose of, or has in his possession with intent to sell or dispose of, an article of merchandise with such a mark as to appear to indicate the quantity, quality, character, place of manufacture or production, or persons manufacturing or producing the articles, but not indicating it truly, shall be deemed guilty of a misdemeanor, and on conviction thereof shall be punished by a fine

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1 of not more than five hundred dollars and by deprivation of2 all benefits and privileges under this act.

3 SEIZURE OF GOODS BEARING SIMULATED MARK.

4 SEC. 32. That an article of merchandise to which is 5 affixed a copy or simulation of the registered trade-mark of 6 another, or a false or counterfeit registered trade-mark, may 7 be seized in transit to any foreign country or Indian tribe, or 8 between the several States, but not in transit in bond over 9 the United States.

10 Any circuit court of the United States may, upon peti-11 tion duly verified by a party aggrieved setting forth the facts, and upon proper security given, direct the seizure of such 12 13merchandise by any marshal of the United States. The mar-14 shal making such seizure shall forthwith serve upon the owner of such merchandise a copy of such order and of the 1516petition upon which the order was granted, by delivering 17 the same to him personally if he can be found with due diligence, or if he can not be found, then to his agent, if any, 1819from whose possession the said goods were taken, and if 20neither can be found, then by posting the same in a conspicu-21ous place in or upon the building, vessel, car, or other structure or means of transportation from which the said merchandise  $\mathbf{22}$ was removed, and in either of the last two cases by mailing 23a copy thereof to the owner at his last known address, if any.  $\mathbf{24}$ Within ten days thereafter the owner of the said mer-25

chandise may apply upon affidavits to the court by which the 1 order was granted for an order to show cause why the original  $\mathbf{2}$ order should not be vacated. Upon the return of such 3 order to show cause the court shall determine whether 4 the facts exist justifying the seizure.  $\mathbf{5}$ If it shall be found that these facts do not exist the court shall 6 vacate the original order 7 direct the restoration and of the goods. If it appear that the facts exist justifying the 8 9 seizure, or if no application for the restoration of the goods 10 be made as herein provided, the court shall direct that the 11 said goods be sold at public auction, after due advertisement; 12 and the proceeds of such sale, after deducting the expenses 13of seizure and sale and the fees of the marshal therefor, shall 14 be paid one-half to the Treasurer of the United States and the other half to the party aggrieved. 15

16 In any action to recover damages for wrongful seizure 17 or sale under this section the sureties upon the bond of the 18 petitioner may be made parties defendant, and judgment may 19 be rendered against them in said action.

20 ARTICLES BEARING SIMULATED TRADE-MARKS FORBIDDEN 21 ENTRY.

SEC. 33. That no article of imported merchandise to
which is affixed a copy or simulation of a registered trade-mark,
or a name calculated to induce the public to believe that the

article is manufactured in the United States or that it is 1 manufactured in any foreign country other than the country  $\mathbf{2}$ or locality in which it is in fact manufactured, shall be 3 admitted to entry at any custom-house of the United 4 And to aid the officers of the customs in enforcing 5States. this prohibition any registrant in the United States Patent 6 Office and any foreign producer, manufacturer, or mer-7 chant who employs a trade name and is entitled under the 8 9 provisions of a treaty, convention, declaration, or agreement between the United States and the country in which he is 10 located, to the advantage accorded by law to citizens of the 11 12 United States, may require his name and residence and his 13 trade name or registered trade-mark to be recorded in the 14 Department of the Treasury, under such regulations as the 15Secretary of the Treasury shall prescribe, and may furnish to the Department facsimiles of such trade-mark and trade 1617 name; and thereupon the Secretary of the Treasury shall cause one or more copies of the trade name and of the regis-18tered trade-mark to be transmitted to each collector or other 19 proper officer of the customs. 20

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#### FEES.

SEC. 34. That there shall be paid into the Treasury ofthe United States:

On filing each original application for registration of a
mark, ten dollars;

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1 On filing each application for the renewal of the regis-2 tration of a trade-mark, five dollars;

3 On an appeal from the examiner in charge of trade-4 marks to the Commissioner of Patents, ten dollars;

5 On an appeal from the Commissioner of Patents to the 6 court of appeals for the District of Columbia;

For certified and uncertified copies of certificates of reg-8 istration and other papers, and for recording assignments and 9 other papers, the same fees as required by law for copies of 10 patents and for recording assignments and other papers relat-11 ing to patents.

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# SAVING CLAUSE.

SEC. 35. That the repeal of a law or any part of it 1314 specified in the annexed schedule shall not affect or impair 15any act done or right accruing, accrued, or acquired, or liability, forfeiture, or penalty incurred, prior to January first, 1617 nineteen hundred and two, under or by virtue of any law so 18 repealed, but the same may be asserted, enforced, prosecuted 19 or inflicted as fully and to the same extent as if such law had not been repealed; and all actions and proceedings, civil or 20criminal, commenced under or by virtue of the law so repealed, 21and pending on December thirty-first, nineteen hundred and 22one, may be prosecuted and defended to final effect in the 23same manner as they might under laws then existing, unless 2425it shall be otherwise specially provided by law.

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1	CONSTRUCTION OF TERMS.					
<b>2</b>	SEC. 36. That in construing this Act, or an indictment					
3	or other pleading in a case provided for by this Act, the fol-					
4	lowing rules must be observed, except when a contrary					
5	intent is plainly apparent from the context thereof.					
6	STATE.					
7	The word "State" includes the Territories, the District					
8	of Columbia, and all other territory in the possession or under					
9	9 the jurisdiction or control of the United States.					
10	PERSON.					
11	The word "person" or any word or term used to designate					
12	2 the applicant or registrant or other entitled to a benefit or privi-					
13	B lege thereunder includes a partnership, association, company,					
14	corporation, common carrier, municipality, or State as well as					
15	a natural person.					
16	SINGULAR NUMBER-GENDER.					
17	The singular number includes the plural, and the plural					
18	includes the singular. The masculine gender includes the					
19	feminine and neuter.					
<b>2</b> 0	APPLICANT-REGISTRANT.					
21	The words "applicant" and "registrant" embrace the					
22	successors and assigns of such applicant or registrant.					
<b>23</b>	INFRINGEMENT.					
<b>2</b> 4	The term "infringement" includes every wrongful or					
25	unauthorized use in commerce with foreign nations or among					

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1 the several States or with the Indian tribes, or the reproduc-2 tion or imitation of any registered trade-mark affixed to 3 goods of substantially the same class as those described in 4 the registration.

IMITATION.

6 An "imitation" of a trade-mark is that which so far 7 resembles a genuine trade-mark as to be likely to induce the 8 belief that it is genuine, whether by the use of words or 9 letters similar in appearance or in sound, or by any sign, 10 device, or other means whatsoever.

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# AFFIXED.

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12 A trade-mark is deemed to be "affixed" to an article of 13 merchandise when it is placed in any manner in or upon 14 either—

15 First. The article itself; or,

16 Second. A box, bale, barrel, bottle, case, cask, or other 17 vessel or package, or a cover, wrapper, stopper, brand, label, 18 or other thing, in, by, or with which the goods are packed, 19 inclosed, or otherwise prepared for sale or disposition.

KNOWINGLY.

The term "knowingly" imports a knowledge that the facts exist which constitute the act or omission unlawful and does not require knowledge of the unlawfulness of the act or omission. 1

# COUNTRY OF ORIGIN.

2 The words "country of origin" refer to the country in 3 which the trade-mark was first registered and of which the 4 applicant is a citizen or subject, or in which he has a bona 5 fide industrial or commercial establishment.

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# CONSTRUCTION.

SEC. 37. That the provisions of this Act, so far as they 7 are substantially the same as those of laws existing on De-8 cember thirty-first, nineteen hundred and one, shall be con-9 10 strued as a continuation of such laws, modified or amended 11 to the language employed in this Act and not as new enact-12 ments; a reference in laws not repealed to provisions of law 13incorporated into this Act and repealed shall be construed as 14applying to the provisions so incorporated.

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# PENDING APPLICATIONS.

16 SEC. 38. That all applications for registration pending 17 when this Act shall take effect may be proceeded with there-18 under subject to its requirements, and for such purpose the 19 applicant shall amend his application to conform to the pro-20 visions of this Act.

# 21 REGISTRATIONS HERETOFORE MADE—ACT OF EIGHTEEN 22 · HUNDRED AND SEVENTY.

23 SEC. 39. That all marks registered prior to the third 24 day of March, eighteen hundred and eighty-one, shall be deemed abandoned unless application for registration thereof as prescribed in this Act be made prior to the first day of May, nineteen hundred and two, in default whereof the registrant shall not thereafter be entitled to notice from the Commissioner of Patents under section eleven.

ACT OF EIGHTEEN HUNDRED AND EIGHTY-ONE.

7 All registrations made after the third day of March, 8 eighteen hundred and eighty-one, and prior to the first day of 9 January, nineteen hundred and two, shall remain in full force and effect to the end of the term for which originally granted, 10 11 unless surrendered and reregistered; but the registrants shall 12be entitled to institute proceedings for infringement provided 13 herein only when such infringement takes place in commerce 14 with foreign nations or with the Indian tribes.

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# REGISTRATIONS IN HAWAII.

SEC. 40. That citizens of the Territory of Hawaii may 16 file applications for registration of marks under this Act with 17 the treasurer of the Territory of Hawaii, who shall transmit 18 the same to the Commissioner of Patents. The date of filing 19 of such applications in the United States Patent Office shall, 20for the purposes of this Act, be deemed to be the same as 21the date of filing with the treasurer of the Territory of 2223Hawaii.

# In proceedings upon applications so filed the time al S. 5027----5

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lowed within which an act may be done, a notice may be 1 given, a document may be filed, or an appeal may be taken  $\mathbf{2}$ shall be double the time elsewhere provided in this Act. 3

# INTERNATIONAL REGISTRATION OF TRADE-MARKS.

SEC. 41. That upon and after the adhesion of the 5 United States to the agreement for the international registra-6 tion of trade-marks concluded at Madrid April fourteenth, 7 eighteen hundred and ninety-one, all correspondence with the 8 9 International Bureau at Berne in regard to marks to be registered under such agreement by citizens of the United States or 10 11 aliens entitled to the advantages of citizens under such agreement shall be conducted by the Commissioner of Patents, 1213and all necessary rules, forms, and orders as to procedure and for carrying this Act into effect shall be prescribed and may 1415be amended by him from time to time.

#### 16 AMENDATORY AND REPEALING STATUTES.

17 SEC. 42. That no provision of this Act, or any part thereof, shall be deemed repealed, altered, or amended by the 18 passage of any subsequent statute inconsistent therewith 19 unless such statute shall explicitly refer thereto and directly 20repeal, alter, or amend this Act accordingly. 21

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#### REPEAL.

23SEC. 43. That the laws or parts thereof specified in the schedule hereto annexed and all other Acts and parts  $\mathbf{24}$ 

repealed.  $\mathbf{2}$ 

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# SCHEDULE OF LAWS REPEALED.

# REVISED STATUTES.

Date.	Title.	Chapter.	Section.	Subject.
June 22, 1874.	XXXIII		2496	Prohibition upon importation of simulated watch movements, etc.
June 22, 1874.	$\mathbf{L}\mathbf{X}$	2	$4937 \\ to \\ 4947$	Reenactment of chapter 12 March 3, 1871. Registration of trade-marks, et Reenactment of chapter 230, se tions 77 to 84, July 8, 1870.

Date.	Chapter.	Section.	Volume.	Page.	Subject.
July 8, 1870	230	77	16	210	Registration of trade-marks,
		to 84		to 212	etc.
Mar. 3, 1871	125		16	580	Prohibition upon importation of simulated watch move-
Aug. 14, 1876.	274	All.	19	141	ments. To punish the counterfeiting of trade-marks, etc.
Mar. 3, 1881	138	All.	21	502	Registration of trade-marks, etc.
Aug. 5, 1882	393	All.	22	298	Registration of marks used prior to March 3, 1881.
Aug. 27, 1894_	349	5	28	547	Marks on foreign-made goods.
Aug. 27, 1894.	349	6	28	547	Foreign-made goods bearing domestic marks excluded.
July 24, 1897.	11	8	30	205	Marks on foreign-made goods. Reenactment of chapter 349, August 27, 1894.
July 24, 1897.	11	11	30	207	Foreign-made goods bearing domestic marks excluded. Reenactment of chapter 349, August 27, 1894.

# STATUTES AT LARGE.

# WHEN ACT TO TAKE EFFECT.

SEC. 44. That this Act shall take effect January first, 4 nineteen hundred and two. When construed in connection  $\mathbf{5}$ with other statutes, it must be deemed to have been enacted 6



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<sup>56TH</sup> CONGRESS, 2D SESSION. }

S. 5027.

# A BILL

To regulate and protect marks, trade-marks, commercial names, and symbols used in commerce, and to enforce treaties regarding the same.

By Mr. PRITCHARD.

DECEMBER 5, 1900.—Read twice and referred to the Committee on Patents.

effect as if it had been enacted after this statute.

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