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MASSACHUSETTS INSTITUTE OF TECHNOLOGY

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PATENT & COPYRIGHT OFFICE

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June 26, 1978

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Stanford

MEMO TO: Dr. Thomas Jones

FROM: Arthur Smith

SUBJECT: Information Exchange with Stanford

In response to Betsy Ancker-Johnson's request, Linda Burley from my office contacted Stanford's licensing office to discuss information on their patent licensing and copyright policies and procedures. Since Neils Reimers is presently overseas, she spoke with his assistant Don Poitras.

He indicated that Stanford only files patent applications when a prospective licensee is available and that foreign filing decisions are made by the licensee. However, Stanford usually handles the foreign filing for the licensee. All patent prosecution is done by outside firms. Approximately 50 percent of the disclosures received are filed on in the United States. Under Stanford's patent policy, the university owns inventions made in the course of sponsored research programs. apparently do not have a category such as we do allowing them to acquire rights to inventions developed using space, funds, or facilities administered by the university.

He indicated that the royalty income earned on licensing at present is just enough to cover expenses. Although a dollar amount was not mentioned, I do know that the AAU patent survey as filled out by Stanford indicated that the institution's gross income from royalties in 1977 totaled \$302,000. A copy of that survey is enclosed.

The copyright problems are handled by Stanford's general legal department and not by their licensing/ patent office. Further, since all of the patent reporting under sponsored research programs is done through Stanford's sponsored research office, Reimer's operation is not picked up under Government overhead.

Don Poitras was kind enough to send Linda copies of Stanford's patent policy and other information on their licensing philosophy and procedures. I am including copies of that material.