

EXECUTIVE OFFICE OF THE PRESIDENT OFFICE OF MANAGEMENT AND BUDGET WASHINGTON, D.C. 20503

October 26, 1981

SPECIAL

LEGISLATIVE REFERRAL MEMORANDUM

TO:

Legislative Liaison Officer

See Distribution

SUBJECT:

Proposed Administration amendments to S. 1657/ Uniform Patent Legislation

N.B.: You are invited to an interagency meeting to discuss amendments to S. 1657 to be held on <u>Thursday</u>, <u>October 29, 1981, at 2:00 p.m. in room 5104 of</u> NEOB.

These amendments were developed to preserve as much of S. 1657 as possible, maintain consistency with P.L. 96-517, and to address problems that arose during the process of writing regulations to implement P.L. 96-517.

Questions should be referred to William A. Maxwell (395-3890), the legislative analyst in this office.

lbh

Robert E. Carlstrom for Assistant Director for Legislative Reference

Enclosures

cc: Sal Ambrósio Jeff Struthers Jim Kelly Fred Dietrich Jim Tozzi Ed Springer Jeff Hill

Council of Economic Advisers Office of Science & Technology Policy Department of Commerce Department of Justice Department of the Treasury Small Business Administration Department of Defense National Science Foundation National Aeronautic & Space Administration Department of Health & Human Services General Services Administration Department of Energy Department of Transportation United States Department of Agriculture Environmental Protection Agency Department of the Interior Department of Labor Department of State

2

COMPARISON OF BILLS

The attached is a draft Administration mark-up of a Senate Staff Working Draft of a Bill entitled the "Uniform Science and Technology Research and Development Utilization Act." The table below indicates those sections of the Administration mark-up which contain provisions that are the <u>same or similiar</u> in subject matter and basic substance to provisions in the Staff Working Draft.

Staff Workin Draft Sectio		ark-up Section
201(b)(2)	Implementing Regulation	ns 201(a), 301(b)(2), 303(a), 303(c), 306, and 402.
201(b)(4)	Resolution of Disputes	301(b), 303(b), 304, and 306
301(a)	Exceptions	301(a)
301(b)	Procedures for Invoking Exceptions	301(b)
301(c)(1)	Periodic Reporting by Contractors	301(c)(10)
301(c)(2)	Government's License	301(c)(8), But License to State and Local Govt's Dropped
302(a)	Title in Contractor	301(a)
302(b)	Contractor's License	301(c)(13)
303	Waivers	301(d) and (e)
304	March-ins	303 -
305(a)(1)-(4)	Various Conditions on Contractor	301(c)
305(a)(5)	Waivers	301(d)
305(b)	Certain March-in Proced	ures 303
306	Background Rights	305(b)
307	Government Licensing	Title IV
401	Repealers	501
402	Effective Date	503

In addition, the Adminstration mark-up adds the following provisions which are derived from the provisions of Public Law 96-517, 35 USC 200 et. seg. and which have no counterpart in the Senate Staff Working Draft:

1. Sec. 302, although 302(c) has a partial counterpart in section 305(a)(3) of the Staff Working Draft. Sec. 302 covers waivers to inventors, coinvention situations, and confidentiality.

2. Section 305(a), backgound rights of small businesses and domestic nonprofit organizations.

3. Title IV. Much more detailed licensing provisions are included.

4. Sec. 502 , antitrust defenses.

Finally, a new section 306 has been added dealing with disputes as to whether an invention is a subject invention. And section 503, effective date has been expanded. These changes are based on experience derived during OFPP efforts to implement Pub.L. 96-517.

It should also be noted that in Section 301 of the Administration Draft Mark-up an attempt has been made to follow the basic format of 35 U.S.C. 202 with some changes and additions based on experience in the preperation of implementing regulations.