WISCONSIN ALUMNI RESEARCH FOUNDATION

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August 28, 1974

263-2831

Mr. Norman J. Latker
Patent Counsel
Office of the Secretary
Department of Health, Education,
and Welfare
Washington, D. C. 20201

Dear Mr. Latker:

Re: Institutional Patent Agreement
University of Wisconsin-Department
of Health, Education, and Welfare

This letter and the attachment will comprise the annual report required under the terms and provisions of the above noted agreement for the period from July 1, 1973 through June 30, 1974. Reported are new inventions which have been brought to the Foundation and reported to the Department during the indicated period as well as information regarding inventions for which the Foundation was previously given responsibility under the Institutional Patent Agreement. You will find pertinent information regarding all of these inventions in the attached table.

Very truly yours.

UNIVERSITY OF WISCONSIN

Reuben H. Lorenz, Vice President and Controller

WISCONSIN ALUMNI RESEARCH FOUNDATION

By Howard W. Bremer, Patent Counsel

RHL:HWB:rw Enc.

bc--Prof. Young
Mr. Gentry
Rosten & Woerpel



DHEW UNIVERSITY OF WISCONSIN-WARF INSTITUTIONAL AGREEMENT INVENTION REPORT July 1, 1973-June 30, 1974

Invention & Grant No.	<u>Inventor</u>	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
Cytokinin Antagonists to Plant Growth in Hormone NIH Grant GM 12395	Bock, R.M. Hecht, S.M. Skoog, F.K. Schmitz, R.Y.	11/18/71	285,677 9/1/72	Several companies evaluating commercial interest.	\$2,500
24,25-Dihydroxy- cholecalciferol NIH Grant Nos. AM 14881 AM 15512	DeLuca, H.F.	7/6/72	250,661 5/5/72; Pat. No. 3715374 issued 2/6/73	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$1,025 + 3,500 (apportioned expense)
25,26-Dihydroxy- cholecalciferol NIH Grant No. AMO5800-08	DeLuca, H.F.	11/16/71	191,842 10/22/71; Pat. No. 3739001 issued 6/12/73	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$ 835 + 3,500 (apportioned expense)
1,24,25-Trihydroxy- cholecalciferol NIH Grant Nos. AM 14881 AM 15512	DeLuca, H.F.	7/17/73	379,621 7/16/73	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$1,500 + 3,500 (apportioned expense)

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
5,6-Trans-25- Hydroxycholecal- ciferol NIH Grant No. AM 15512	DeLuca, H.F.	7/11/72	226, 183 2/14/72; Pat. No. 3743661 issued 7/3/73	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent. (One company shows particular interest in compound.)	\$1,000 + 3,500 (apportioned expense)
1,25-Dihydroxy- ergocalciferol NIH Grant Nos. 5-PO1-AM-15512 5-PO1-AM-14881	DeLuca, H.F. Jones, G. Schnoes, H.K.	6/13/74	472,953 5/24/74	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$1,500 + 3,500 (apportioned expense)
Method of Treating Milk Fever in Dairy Cattle with 1 _α - Hydroxychole- calciferol NIH Grant Nos. 5-PO1-AM-15512 5-PO1-AM-14881	DeLuca, H.F. Jorgensen, N.A.	6/13/74	435,099 1/21/74	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$1,000 + 3,500 (apportioned expense)
1,25-Dihydroxy- cholecalciferol NIH Grant No. AMO 5800-10	DeLuca, H.F. Schnoes, H.K. Holick, M.F.	4/8/71	118,881 2/25/71; Pat. No. 3697559 issued 10/10/72	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$5,500 + 3,500 (apportioned expense)

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
1_{α} -Hydroxychole-calciferol NIH Grant No. AM 15512	DeLuca, H.F. Schnoes, H.K. Holick, M.F. Semmler, E.J.	12/2/71	204, 305 12/2/71; Pat. No. 3741996 issued 6/26/73	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent. (Several companies show particular interest in this compound.)	\$8,000 + 3,500 (apportioned expense)
1_{α} -Hydroxy- ergocalciferol NIH Grant No. AM 14881	DeLuca, H.F. Schnoes, H.K. Lam, H.Y.	With this report	479,224 6/14/74	Licensed to Upjohn Co., Roussel Uclaf (France), Leo (Denmark); other licenses imminent.	\$1,200 + 3,500 (apportioned expense)
3-Deoxy-1@- Hydroxychole- calciferol NIH Grant No. AM 14881	DeLuca, H.F. Schnoes, H.K. Lam, H.Y.	With this report	480,211 6/17/74	Licensed to Upjohn Co., Roussel Uclaf (France), Leo Denmark; other licenses imminent.	\$1.000 + 3,500 (apportioned expense)
Electromagnetic Flowmeters for Blood or Other Conductive Fluids NIH Grant No. HE-07754	Folts, J.D.	4/29/71	113,542 2/8/71; Pat. No. 3739640 issued 6/19/73	Potential licensees evaluating commercial interests.	\$1,700

Invention & Grant No.	R	nvention Reported D DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
The Preparation and Use of Europium and Praseodymium Chelate of 3-Tri-Flouroacetyl D-Camphor and 3-Perflouro-n-butyryl D-Camphor Predoctoral Fellow FO-1-GM-41571	Eikenberry, J. N.	/10/71	195, 429 11/3/71; Pat. No. 3789060 issued 1/29/74	Licensed to Regis Chemical Co. and Willow Brook Labs. (Compounds being marketed.)	\$7,500
Fluorinated Camphor Derivatives and Europium and Praseodymium Chelates Thereof Predoctoral Fellow FO-1-GM-41571	Goering, H. L. 5/ Eikenberry, J. N. Koermer, G. S.	/23/74	375,614 7/2/73 (Div. of SN 195429)		
Compensation of Patient Thickness Variations and Differential X-ray Transmission Imaging NIH Fellowship Nos. 5-TO1-CA-05104-10 5-TO1-CA-05104-11	Mistretta, C.A. 1/Kelcz, F.	/15/74	423, 115 12/10/73	Negotiation with potential licensees in progress.	\$2,500

Invention & Grant No.	<u>Inventor</u>	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
A Three-Dimensional Fast-Coincidence Gamma Imaging System DHEW Grant No. 5-EO3-PE-00196-07	Nickles, R.J. Meyer, H.O.	4/3/73	No pat. app. filed to date	Commercial interest expressed in general concept. Evaluation will be obtained after pat. app. is filed.	\$ 500
Regulation of Insect Reproduction and/or Development NIH Grant No. 1-PO6-RR-WO779-01	Norris, D.M. Bridges, J.R.	7/3/74	No pat. app. filed to date	Patentability evaluation in progress.	\$ 300
Antibiotic Production by Mycoplasma Species NIH Grant No. AI 9320-02	Perlman, D.	11/18/71	No pat. app. to be filed	DHEW notified of decision not to file pat. app. on $3/20/74$.	\$ 350
Immobilization of Macromolecules on Tissue NIH Grant No. 5-SO5-RR-07098(07)	Richardson, T.	1/4/74	472,588 5/23/74	Commercial interest being sought - no response to date.	\$1,500

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
Process for Preparing L-dopa (thru microbiological means) NIH Grant Nos. 5-R01-AM-04874-09 5-T01-AM-09688-05 5-S01-FR-05456-07	Sih, C.J.	9/25/69	862,061 9/29/69; Pat. No. 3671397 issued 6/20/72	No commercial interest.	\$4,000
Process for Preparing dl-Strigol NIH Grant No. 2-R01-AM-4874-12	Sih, C.J.	3/11/74	450,950 3/13/74	Commercial interest being sought.	\$1,500
Prostaglandins and Methods for Prepar- ing Same NIH Grant Nos. A955 & B738	Sih, C.J.	1/28/72	221,058 1/26/72	Licensed to Miles Labs., Alza Corp., Johnson & Johnson & Teijin (Japan). Evaluation for commercial application proceeding.	Grosssout-of-pocket expense for Sih inventions - \$65,000
3-Substituted Iodo Alkenyl Compounds NIH Grant No. AM04874	Sih, C.J.	9/29/72	293,442 9/29/72	Licensed to Miles Labs., Alza Corp., Johnson & Johnson & Teijin (Japan). Evaluation for commercial application proceeding.	

Invention & Grant No. Method for Preparing 2-Substituted-4-Hydroxy-Cyclopentane-1,3-Diones NIH Grant Nos. AM-9688 AM-4874	Inventor Sih, C.J.	Invention Reported to DHEW 9/29/72	Serial No. Filing Date 293, 457 9/29/72	Development and Commercial Use Licensed to Miles Labs, Alza Corp., Johnson & Johnson & Teijin (Japan). Evaluation for commercial application proceeding.	WARF Expenditures (Approx. Estimate) Gross out-of-pocket expense for Sih inventions - \$65,000
Processes for Stereospecifically Preparing-Chiral- 2-Substituted-4- Hydroxy-2- Cyclopenten-1-One NIH Grant No. AM 4874	Sih, C.J. Heather, J.B.	9/29/72	309,766 11/27/72; aband. in favor of c-i-p case SN 478713		
Processes for Stereospecifically Preparing-Chiral- 2-Substituted-4- Hydroxy-2- Cyclopenten-1-One NIH Grant No. AM 4874	Sih, C. J. Heather, J. B.	9/29/72	478, 713 6/13/74; c-i-p of SN 309766	Licensed to Miles Labs., Alza Corp., Johnson & Johnson & Teijin (Japan). Evaluation for commercial application proceeding.	

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and WARF Expenditures Commercial Use (Approx. Estimate)
Automated Electro Optometric Target Display System DHEW Grant No. MH 30574	Smith, K. U. Coleman, P. J.	10/16/73	No pat. app. to be filed	DHEW notified of \$ 300 decision not to file pat. app. 5/8/74
Rodenticide NIH Grant No. P-01-AM-14881	Suttie, J.W.	5/23/74	359,632 5/14/73	Called to attention \$3,000 of commercial interests - compound presents stability problems.

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
Method and Apparatus for Dialysis NIH Grant No. GM-16133	Updike, S.J.	3/27/73	191, 720 10/22/71	Commercial interests evaluating inventions.	\$6,500
Method and Apparatus for Dialyzing Carbon Dioxide from Blood Plasma NIH Grant No. GM-16133	Updike, S.J.	3/27/73	295,264 10/5/72; c-i-p of SN 191,720		
Catalyst Containing Semi-Permeable Membrane for Respiratory Gas Exchange by Dialysis NIH Grant No. GM-16133	Updike, S.J.	5/15/74	434,231 1/17/74; c-i-p of SN 191,720		

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
Device and Method for Sample Application by Water Regain of a Gel NIH Grant Nos. GM-16133 GM-70157	Updike, S.J.	1/4/74	356,092 5/1/73	Licensed to Squibb, Union Carbide, and & Ames Labs - investigation and evaluation leading to commercial application in progress.	\$12,500
Method for Immuno- logical Determinations NIH Grants Nos. GM-16133 GM-70157	Updike, S.J.	5/15/74	437, 135 1/28/74; c-i-p of SN 356092		
The Preparation of Dry, Porous Gel Particles Having High Water Regain for Liquid Sampling NIH Grant Nos. GM-16133 GM-70157	Updike, S.J.	1/4/74	356,093 5/1/73		
Reagent for Immuno- logical Determinations NIH Grants He-09922 GM-16133 K3-HE-18184		4/29/70	33098 4/29/70; Pat. No. 3793445 issued 2/19/74		

Invention & Grant No.	Invention Reported Inventor to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
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Sensitizationfof	Mayhugh, M.R. 2/22/74	Pat. app.	Preliminary contacts	\$ 500
Radiothermo-	Fullerton, G.D.	being filed	with commercial	
luminescence in			interests have been	
Dosimetry Grade			made.	
LiF by Simultaneous				
Annealing and Ultra-				
violet Illumination				
NIH Grant				
5-T01-CA05104-11				
NSF Grant				
74-52-GH34585				

Invention & Grant No.	Inventor	Invention Reported to DHEW	Serial No. Filing Date	Development and Commercial Use	WARF Expenditures (Approx. Estimate)
		. <u> </u>	AND	T AGREEMENT	
The Production of Radiation Induced Thermally Activated Current (RITAC) Devices by Selective Purification of	Moran, P.R. Podgorsak, E. Fullerton, G. Fuller, G.E.	3/18/74	Pat. app. being filed	Interest has been expressed by one company - meeting has been scheduled with view to possible license.	\$ 750
Dielectric Solid Material NIH Grant 5-T01-CA-05104- 10 & 11 NSF Grant GH-34585					