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October 7, 1976

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Gentlemen:

It was agreed at the last meeting of SUPA that we would endeavor to survey the patent policies and practices of all institutions where there are SUPA members. Through the efforts of several SUPA members a draft survey form has been developed and two copies are enclosed. I would like to ask you to complete the form for your institution on a trial run basis, and to return it to me with any comments or suggestions for changes.

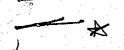
Your cooperation will be most appreciated.

Sincerely yours,

Raymond J. Woodrow President

RJW/dh

Enclosures (2)



SUPA Questionnaire Concerning University Fatent Policies and Patent Administration

⊥•	Name of Institution University of Wisconsin	
2.	Who authorized your Patent Policy?	
	a. Trustees or Regents	
	b. Faculty	
	c. President or Chancellor	
	d. Other (please specify)	
3	What office administers the Patent Policy? Vice Pr	es. & Controlle
4.	To whom is that office responsible? Board of Reger	ıts
5.	Is there a Patent Committee? No	
6.	What is its composition?	
7.	What are the functions of the Patent Committee?	
8.	Does the Patent Folicy cover:	
	a. Faculty yes	
	b. Professional Staff <u>yes</u>	
	c. Nonprofessional Staff yes	
	d. Graduate students employed by University y	es
	e. Graduate students not employed by University	y no
	f. Undergraduates employed by University yes	
	g. Undergraduates not employed by University	no

	take control):
	Taking title to patents <u>no</u>
7	or Directing or approving disposition by inventors no
10.	Do you enter into agreements with possible inventors (see 8 above) to establish patent rights (complete only one response):
except 1	
Federal Agency unding	b. For all possible inventors from 8 who participate in
	c. For all possible inventors from 8 who are employed (specify a,b, cetc)
	d. For all possible inventors from 8 who are employed just in sponsored research (specify a, b, cetc)
11.	Do you use or have you considered using a single agreement to cover both patents and copyrights? no
12.	Is one or more patent management firm utilized and if so give names? Yes. Wisconsin Alumni Research Foundation
13.	If the institution (not a patent management firm) decides to make a patent application, what office makes this decision?
	Institution does not decide.
14.	Does your patent policy require reporting by those covered by the policy (see 8) of:
	a. All inventions made even though there is no institutional or sponsor equity Yes - for purposes of determining any obligation to
	a Federal agency because of funding b. All inventions made on which patents are applied for, even though there is no institutional or sponsor equity
	c. All inventions made where there is some institutional or sponsor equity
15.	What is the basis of the institution's claim for equity in an
	invention: Is the equity as against the inventor or against a cliam by the Government based upon Federal funding?

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a.	Title to all inventions no
ъ.	Exclusive license no
c.	Exclusive license for limited period no
	Exclusive license for limited period with march-in right lack of diligence
e.	Nonexclusive license no
f.	Other Restrictive patent clauses not accepted with funds from
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