## ECONOMIC ASPECTS OF PATENTS AND THE AMERICAN PATENT SYSTEM: A BIBLIOGRAPHY

STUDY OF
THE SUBCOMMITTEE ON
PATENTS, TRADEMARKS, AND COPYRIGHTS
OF THE

COMMITTEE ON THE JUDICIARY
UNITED STATES SENATE
EIGHTY-FIFTH CONGRESS, SECOND SESSION

PURSUANT TO

S. Res. 236

STUDY No. 14



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II

#### FOREWORD

This bibliography, compiled by Julius W. Allen, of the Legislative Reference Service, Library of Congress, was prepared for the Subcommittee on Patents, Trademarks, and Copyrights as part of its study of the United States patent system, conducted pursuant to Senate Resolutions 55 and 236 of the 85th Congress. It was prepared under the supervision of John C. Stedman, associate counsel for the subcommittee.

In recent years, the economic impact of the patent system has become an increasingly debated and controversial issue—and an issue that becomes more important and complex as technology burgeons, as the antitrust laws receive increasing attention, and as foreign trade expands in volume, intensity, and political significance. This collection of economic writings and references should provide a useful tool for those seeking to evaluate the current role of the patent system in the light of these modern developments.

This study is presented as the result of the work of Mr. Allen for the consideration of the members of the subcommittee. It does not represent any conclusion of the subcommittee or its members.

JOSEPH C. O'MAHONEY.

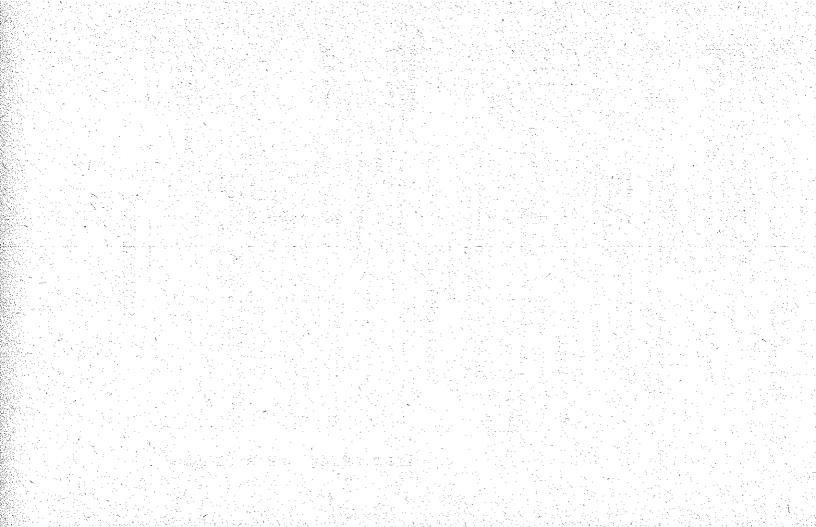
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# ECONOMIC ASPECTS OF PATENTS AND THE AMERICAN PATENT SYSTEM: A BIBLIOGRAPHY

#### INTRODUCTION

It is the intent of this bibliography to serve as a guide to the more significant literature on the various economic aspects of patents and the patent system of the United States. Emphasis has been put on the more basic works published in the 20th century, and on current items, particularly those of recent legislative interest. The following categories of materials have, for the most part, been omitted: Most law-journal articles dealing with legal or procedural aspects of patents; legal cases; guides to inventors and others on patent-application procedures and related patent problems; and the briefer, less substantial items in the daily and weekly press.

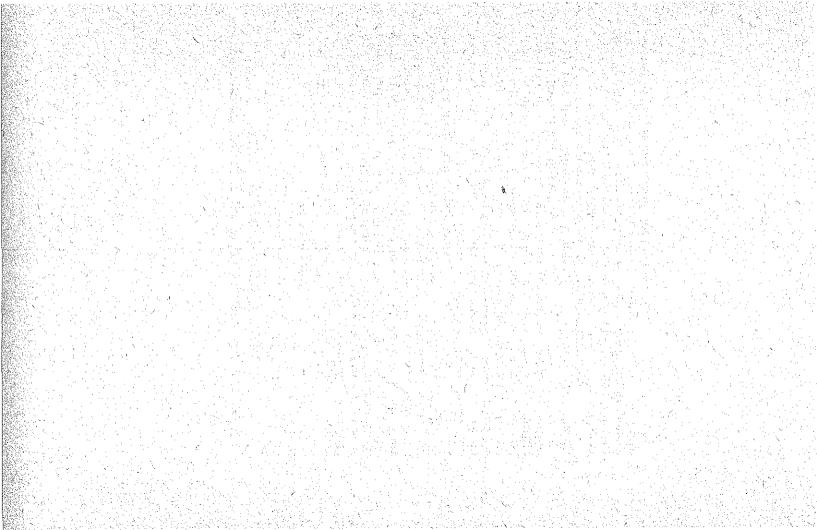
Items in the first group (I. General) include all those dealing significantly with two or more of the subsequent topics. A certain amount of overlapping of subjects is unavoidable. Every effort has been made to place each item into the subject category where its major emphasis lies. Cross-references are suggested at the beginning of several of the parts of the bibliography, indicating where further items on the subject of the part in question may be found. An author

index follows the bibliography.

No new items issued after December 1957 have been included,

except for certain studies prepared for this subcommittee.

The compiler gratefully acknowledges the assistance of Dr. S. Colum Gilfillan for suggesting numerous items included herein, and of Rollin Bell, Jr., Warren W. Scott, and John W. Walker, all of the Economics Division, Legislative Reference Service, Library of Congress, who ably assisted in the preparation of this bibliography.



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of Manufacturers, 1954, 23 pages.

A pamphlet approved for school use by the NAM Advisory Committee on Educational Aids. Includes general questions and answers about on Educational Aids. Includes general questions and answers about patents and NAM policy statements on patents.

40. NATIONAL RESEARCH COUNCIL. UNIVERSITY RESEARCH AND PATENT

PROBLEMS; COMPOSITE REPORT OF FIVE REGIONAL CONFERENCES, By Archie M. Palmer. Washington, 1949, 83 pages.

Report of discussions on questions relating to research and patent policies of educational institutions. Contains a speech on "Research, inventions and patents," by George N. Robillard, pages 15-22. Other sections deal with the need for a patent policy, patent management, and various research methods and programs.

A NEW APPROACH TO EVALUATION 41. OPPENHEIM, S. CHESTERFIELD. Journal of the Patent Office Society, OF THE AMERICAN PATENT SYSTEM.

volume 33, August 1951, pages 555-568.

An address before the New Jersey Patent Law Association, May 24, 1951. 42. PALMER, ARCHIE M. Nonprofit Research and Patent Management Organization. Washington, National Academy of Sciences—National Research Council, 1955, 150 pages. Publication 372.

A description of the organic structure of 104 research institutes and

patent management agencies, their objectives, operating procedures, research and patent policies, and experiences with research and patent

management problems.

NONPROFIT RESEARCH AND PATENT MANAGEMENT IN THE UNITED 43. STATES. Washington, National Academy Council, 1956, 54 pages. Publication 371. Washington, National Academy of Sciences-National Research

Factual data are presented on the organization, objectives, operation, programs, policies and experiences of nonprofit research and patent management activities currently being conducted in the United States.

UNIVERSITY PATENT POLICIES AND PRACTICES. Washington, National Academy of Sciences—National Research Council, 1952, 229 pages.

Publication 257.

A report of the policies, practices, and procedures of higher educational institutions in the handling of patentable results of scientific and technological research. All but the first 27 pages deal with statements of 227 individual educational institutions. A 168 page preliminary report on the same subject was prepared in 1948 by Archie M. Palmer under the title "Survey of University Patent Policies."

—. SUPPLEMENT TO UNIVERSITY PATENT POLICIES AND PRACTICES.
Washington, National Academy of Sciences—National Research Council, 45.

1955, 93 pages. Publication 376.

Brings up to date and expands the material contained in the earlier monograph [item No. 44 in this bibliography] and includes chapters on the

formulation of patent policy and on patent management.

PATENTS AND NONPROFIT RESEARCH. Study No. 6 of the Subcommittee on Patents, Trademarks, and Copyrights of the Senate Committee on the Judiciary. Washington, U. S. Government Printing Office, 1957, 66 pages (85th Cong., 1st sess., Senate Committee print.)

Factual data on the organization and policies of nonprofit research and patents are accommitted in the United States, including educations. 46.

patent management organizations in the United States, including educational institutions. Includes previously published information, plus data on the types of research undertaken, patents obtained and their subject matter, licensing policies, and royalty income.

47. PATENT AND TRADE MARK REVIEW. Richmond, Va., Trade Activ-

ities, Inc., 1902- . Monthly.

A useful source for current reprints and digests of laws, Patent Office procedures, and court decisions relating to patents and trademarks throughout the world. Contains a detailed annual index.

48. PATENT POLICY. American Economic Review, volume 38, May 1948, American Economic Review, volume 35, 1945, pages 215–260. A symposium of two papers: Patent Policy, by Floyd L. Vaughan (pp. 215–234); Our National Patent Policy, by William H. Davis (pp. 235–244); with discussion by George E. Folk (pp. 245–251), John A. Dienner (pp. 251–257), Frank B. Jewett (pp. 257–260).

Vaughan concludes that our patent system "discourages rather than encourages the inventor, permits the suppression of patents, and is a means of creating industrial monopoly and restrictions trade." Davis discusses

in more detail the procedures of the Patent Office and suggests some possible

reforms.

49. PATENT SU VEY COMMITTEE [of the U.S. Department of Commerce].

AGENDA FOR WORKING STAFF. Journal of the Patent Office Society, volume 27, August 1945, pages 525-536.

A formulation of questions relating to the patent system suggested for consideration by the staff of the Department of Commerce's Patent Survey

Committee

50. THE PATENT SYSTEM. Law and Contemporary Problems, volume 12, Autumn 1947, pages 645-809, and volume 13, Spring 1948, pages 245-267. An important symposium of 16 essays on various aspects of the patent system, including Invention and Public Policy by John C. Stedman; The Control of Patent Rights Resulting from Federal Research, by D. L. Kreeger; Patents and Atomic Energy, by James R. Newman and Byron S. Miller; A Reevaluation of the International Patent Convention, by Heinrich Miller; A Reevaluation of the International Patent Convention, by Heinrich Kronstein and Irene Till; Nationalization and International Patent Relations, by Ervin O. Anderson; Proposed Modifications in the Patent System, by William H. Davis; What is a Patent? by Walton Hamilton and Irene Till; Patents and Competition in the Automobile Industry, by C. A. Welsh; The Relation of Patents to the Antitrust Laws, by George E. Folk; Compulsory Licensing in other Countries, by P. J. Federico; Government Policies relating to Research and Patents, by John A. Dienner; and The Economic Bases of Patent Reform, by Victor Abramson.

51. PATENTS AND ECONOMIC PROGRESS (a radio discussion by Wilber Katz, Edward Levi, and Robert Wilson). University of Chicago Round

Katz, Edward Levi, and Robert Wilson). University of Chicago Round Table, January 12, 1947, No. 460, 21 pages.

A popular discussion on how patents can promote and how they may retard economic progress. Includes a brief bibliography.

52. PETRO, SYLVESTER. PATENTS: JUDICIAL DEVELOPMENTS AND LEGISLATIVE PROPOSALS. University of Chicago Law Review, volume 12, December 1944 and June 1945, pages 80-103, 352-420.

ber 1944 and June 1945, pages 80-103, 352-420.

The first half of the article is a discussion of the Hartford-Empire case and compulsory licensing. The second is a critical evaluation of the patent system in relation to invention and technology. The author concludes: "The patent system, at least as it is organized at present, should be abolished. There is neither justice nor necessity for giving monopolies in products emanating, as most inventions do, almost imperceptibly from the social process; especially when the recipient of the monopoly may have become entitled to it (legally) only through an unequal bargain with an actual contributor.

53. PFAFF, ROGER ALTON. PATENTS AND PROGRESS. Journal of the State Bar of California, volume 29, January-February 1954, pages 36-39, 42-43. A defense of the patent system, expressing belief that "the American people want patent law restored to the historical concepts enunciated by

Jefferson and interpreted by Marshall and Hand."

54. PLANT, ARNOLD. The Economic Theory Concerning Patents for Inventions. Economica, volume 1, 1934, pages 30-51.

A critical evaluation of patents and their capacity for furthering

monopoly 55. POLANYI, MICHAEL. PATENT REFORM, A PLAN FOR ENCOURAGING THE Application of Inventions. Cambridge, England, Students Bookshops, 1945, 16 pages. Reprinted from the Review of Economic Studies, volume

11, 1944, pages 61-76. A proposal, with accompanying analysis, for rewarding inventors from the public purse, rather than requiring them to earn their reward commercially; specifically "to supplement licenses of right by Government rewards to patentees on a level ample enough to give general satisfaction to inventors and their financial promoters." This plan is intended to encourage the transfer of most patents into the proposed type of license of rights.

56. POTTER, A. A. RESEARCH AND INVENTION IN ENGINEERING COLLEGES. Science, volume 91, January 5, 1940, pages 1-7. Reprinted in Journal of the Patent Office Society, volume 22, February 1940, pages 119-134.

An address by the dean of engineering, Purdue University, delivered

December 28, 1939, dealing with inventions and patent policies of uni-

versities and research institutes.

THE NATURE OF A PATENT RIGHT. Columbia 57. POWELL, THOMAS REED. Law Review, volume 17, December 1917, pages 663-686.

This article attempts to clarify the problems that arise from diversity of judicial opinion in applying the Federal statutes relating to the

rights of inventors 58. PRINDLE, EDWIN J. PATENTS AS A FACTOR IN MANUFACTURING. New

York, The Engineering Magazine, 1908, 134 pages.

An early study intended "to convey an idea of the nature of a patent, the protection it may afford, the advantages it may possess for meeting certain commercial conditions, the safety which may be secured in relations between employers and employees," and the general rules followed by the courts in patent cases.

59. THE PUBLIC INTEREST IN A SOUND PATENT SYSTEM. of Commerce (New York), volume 195, March 11, 1943, part 2, pages 1-52. A symposium, largely in defense of the existing patent system. In three parts: I. Our stake in the patent system, 10 articles; II. Fundamentals of the system (critical appraisal of reform proposals), 10 articles; III. Reform proposals, 4 articles. One of these articles, The Patent "Monopoly," by John H. Wigmore is reprinted in Journal of the Patent Office Society, volume 25, October 1943, pages 687-691.

60. REESE, JIM E. The Economic Implications of the United States

PATENT SYSTEM, 1940.

An unpublished doctoral thesis, University of Texas, Austin, Tex. 61. RICE, WILLIS B. A CONSTRUCTIVE PATENT LAW. New York University

Law Quarterly Review, volume 16, January 1939, pages 179–201.

The author proposes establishment of a Patents Commission comparable in authority to other Federal regulatory commissions to set forth and administer, under congressional mandate, the patent policies of the Nation. It would be authorized to issue license agreements and grant compulsory licenses under proper limitations and would determine the validity and

scope of any patent as soon as the question actually arises in industry.

62. RIVISE, CHARLES W. PATENTS IN THE POSTWAR WORLD. Journal of the Patent Office Society, volume 26, May 1944, pages 308-319.

A popular review of recommendations for revising the patent system,

including the author's own recommendations.
63. ROBERTSON, THOMAS E. AMERICAN PATENT SYSTEM. Journal of

the Patent Office Society, volume 14, June 1932, pages 463-486. An address by the Commissioner of Patents at the celebration of the

new Patent Office, April 11, 1932.
64. ROBINSON, WILLIAM C. THE LAW OF PATENTS FOR USEFUL INVEN-

TIONS. Boston, Little, Brown & Co., 1890, 2,158 pages in 3 volumes.

Although Robinson on Patents was written 67 years ago and has never been brought up to date through revised editions, it continues to be one of the most comprehensive, authoritative, frequently cited, and influential patent treatises in the United States.

65. SMITH, ARTHUR M. RECENT DEVELOPMENTS IN PATENT LAW. Michigan Law Review, volume 44, June 1946, pages 899-932.

A general review of recent patent legislation and judicial interpretation

with particular reference to Supreme Court decisions.

66. TOULMIN, HARRY A., Jr. Handbook of Patents. Second edition.

Cincinnati, W. H. Anderson Co., 1954, 929 pages.

A convenient handbook of various aspects of patents for those directly concerned with the patent law; includes chapters on international agreements, tying contracts, and the new Patent Act of 1952.

INVENTION AND THE LAW. New York, Prentice-Hall, 1936, 399

pages. A consideration of basic factors bearing on the patentability of inventions, including the background of invention, general characteristics of invention, what is and what is not invention, and the British rule on utility and novelty. Includes bibliographies of American, British, French, and German works.

New York, Harper & Bros., 68. PATENTS AND THE PUBLIC INTEREST. 1939, 205 pages.

A popularly written discussion of the patent system, including patents and their relationship to the economy, proposals for reform, and recent results of inventions.

PATENTS AND THREE NEW LAWS. Product Engineering, volume 26, 69. March 1955, pages 193-195.

A brief discussion of principal provisions of the Patent Act of 1952, the

Internal Revenue Act of 1954, and the Atomic Energy Act of 1954.

70. TUSKA, C. D. INVENTORS AND INVENTIONS. New York, McGraw-Hill, 1957, 174 pages.

A brief analysis of factors involved in inventions and their utilization.

Author concludes that "next in importance to making inventions of quality is their protection by patenting." Two chapters are devoted to patenting inventions and to marketing patentable inventions. The

author is the director of RCA patent operations.

71. U. S. CONGRESS. SENATE. COMMITTEE ON PATENTS. PATENTS. Hearings, April 13—August 21, 1942. Washington, U. S. Government

Printing Office, 1942-43, 5,306 pages in 10 parts.

These hearings, under the chairmanship of Senator Homer T. Bone, comprise 9 volumes, and a 48-page index. Provides detailed information on patent policies of many industries (particularly those critical for national

on patent policies of many industries (particularly those critical for national defense), patent rabuses, and recommendations for patent reforms.

72. U. S. CONGRESS. SENATE. COMMITTEE ON THE JUDICIARY. AMERICAN PATENT SYSTEM. Hearings before the Subcommittee on Patents, Trademarks, and Copyrights pursuant to Senate Resolution 92 on the American patent system, October 10-12, 1955. Washington, U. S. Government Printing Office, 1956, 361 pages.

Hearings on current problems involving patents and inventors in the American economy. Much factual information submitted by the Patent Office is included.

Office is included.

REVIEW OF THE AMERICAN PATENT SYSTEM. Washington, U. S. Government Printing Office, 1956, 16 pages (84th Cong., 2d sess. S. Rept. No. 1464). Reprinted in the Journal of the Patent Office Society, volume 38, February 1956, pages 75-101.

A brief review of preliminary conclusions and recommendations on the American patent system reached by the beginning of 1956 by the Subcommittee on Patents, Trademarks, and Copyrights of the Senate Com-

mittee on the Judiciary.

-. PATENTS, TRADEMARKS, AND COPYRIGHTS. Washington, U. S. Gov-74. ernment Printing Office, 1957, 31 pages (85th Cong., 1st sess. S. Rept.

No. 72).

Summary of the investigations, studies and hearings during 1956 of the Subcommittee on Patents, Trademarks, and Copyrights of the Senate Committee on the Judiciary. Special attention is given to the need for gearing the patent system to the modern pace of technology, to the variance in tests of invention applied by the Patent Office and the courts, and to the problems attending the accumulation of unused patents. The completed and pending studies being prepared as part of the subcommittee's research and study program, are listed and described.

75. U. S. NATIONAL PATENT PLANNING COMMISSION. THE AMERI-

CAN PATENT SYSTEM; REPORT, JUNE 18, 1943. Washington, U. S. Government Printing Office, 1943, 11 pages (78th Cong., 1st sess., H. Doc. No. 239). Reprinted in the Journal of the Patent Office Society, volume

25, July 1943, pages 455-472. [See item No. 36 for comments on this report. See item No. 77 for

annotation.]

GOVERNMENT-OWNED PATENTS AND INVENTIONS OF GOVERNMENT EMPLOYEES AND CONTRACTORS; SECOND REPORT, JANUARY 9, 1945. Washington, U. S. Government Printing Office, 1945, 15 pages (79th Cong., 1st sess., H. Doc. No. 22). Reprinted in the Journal of the Patent Office Society, volume 27, February 1945, pages 76-95.

[See item No. 77 for annotation.]

THIRD REPORT ON THE AMERICAN PATENT SYSTEM, SEPTEMBER 6, 1945. Washington, U. S. Government Printing Office, 1945, 5 pages (79th Coug., 1st sess., H. Doc. No. 283). Reprinted in the Journal of the Patent Office Society, volume 27, September 1945, pages 579-585.

The three foregoing reports (items No. 75, 76, and 77), the last of which

is primarily a summary of the first with some additional discussion added, providing a brief study of the operation and effectiveness of existing patent laws, with recommendations for improving procedures and eliminating abuses. No departure from the basic principles of the system was recom-

S. PATENT OFFICE. ANNUAL REPORT OF THE COMMISSIONER OF PATENTS. 1837-

This series of annual reports contains extensive statistics on patents issued, in litigation, workload of the Patent Office, etc. Beginning with the report for the fiscal year 1936, all (except for fiscal year 1950) have been reprinted in various issues of the Journal of the Patent Office Society.

THE STORY OF THE UNITED STATES PATENT OFFICE, 1790-1956. Third Edition. Washington, U. S. Government Printing Office, 1956,

A popular chronology of American patents and significant events in the evolution of the patent system, for 165 years; includes a table of applications and patents from 1836 to 1955 and brief answers to common questions about patents.

80. U.S. TEMPORARY NATIONAL ECONOMIC COMMITTEE. INVESTI-GATION OF CONCENTRATION OF ECONOMIC POWER. Hearings. Part 2 (December 5-6, 12-16, 1938): Patents; automobile industry, glass con-tainer industries. Part 3 (January 16-20, 1939): Patents; proposals for changes in law and procedures. Part 5 (February 28-May 9, 1939): Monopolistic practices in industries, development of the beryllium industry.

Extensive hearings giving testimony on patents, licensing, and royalty systems in the automobile and glass container industries with recommendations for improvements in the patent system by representatives of industry, the Patent Office, and the Department of Commerce. Includes

many exhibits. Each part is separately indexed.

— . Investigation of Concentration of Economic Power. Letter transmitting a preliminary report, July 17, 1939. Washington, U. S. Government Printing Office, 1939, 39 pages. 81.

Includes a summary of the hearings on patents and recommendations of the committee. Sections on patents reprinted in the Journal of the Patent Office Society, volume 21, September 1939, pages 650-656; together with views of Senator William H. King, member of the committee, in opposition to some of the recommendations contained in the Preliminary Report, August 10, 1939, pages 656-663.

82. INVESTIGATION OF CONCENTRATION OF ECONOMIC POWER. Hearings, Part 31-A, Supplemental data submitted to the Temporary National Economic Committee. Washington, U. S. Government Printing Office,

1941, pages 18011-18489.

report and recommendations. Washington, U. S. Government Printing Office, 1941, 783 pages (77th Cong., 1st sess., S. Doc. No. 35).

Includes the committee's seven specific recommendations for revision of the patent laws (pp. 36-37), the Department of Justice's recommendations (pp. 249-251), testimony by Conway P. Coe, Commissioner of Patents (pp. 357-380). Commissioner Coe's testimony is reprinted in the Journal

of the Patent Office Society, volume 21, February 1939, pages 87-127.

84. VAN DEUSEN, EDMUND L. The Inventor in Ecurse. Fortune, volume 50, December 1954, pages 132-133, 197-202.

A discussion of the plight of the independent inventor today, with par-

ticular criticisms of the patent system and of legal interpretations in the Federal courts.

85. VAUGHAN, FLOYD L. Economics of Our Patent System. New York,

Macmillan Co., 1925, 288 pages.

One of the earliest comprehensive discussions by an economist of the monopoly aspects of patents in the United States. Discusses patent pools, patent consolidations, unfair competition, suppression of patents, discouragement of inventors and other patent evils, together with proposed remedies. Contains a bibliography of judicial decisions, hearings, reports, briefs, documents, books, pamphlets, and periodical articles.

86. -THE UNITED STATES PATENT SYSTEM; LEGAL AND ECONOMIC CONFLICTS IN AMERICAN PATENT HISTORY. Norman, University of

Oklahoma Press, 1956, 355 pages.

An amplification of the author's Economics of Our Patent System, [item No. 85] published in 1926. Based on records of the last 30 years, this

book, according to the author, devotes more space to "abuses little known than to alleged benefits generally admitted."

87. WALKER, ALBERT HENRY. WALKER ON PATENTS. Deller's edition, by Anthony William Deller, New York, Baker, Voorhis & Co., 1937, 3,806 pages in 4 volumes, plus 1955 cumulative supplement of 1,028 pages.

The most comprehensive modern treatise available on all legal aspects.

of the American patent system and its interpretation.

88. WAR AND PEACE AND THE PATENT SYSTEM. Fortune, volume 26, August 1942, pages 103-105, 132, 134, 136, 138, 141.

An analysis of the merits of the present patent system; considerable at-

tention paid to patent pools and cross-licensing.

89. WARD, JOSHUA J. THE UNITED STATES PATENT SYSTEM. North Carolina, Inland Press, 1952, 60 pages. Reprinted in Journal of the Patent Office Society, volume 35, November-December 1953, pages 789-820, 850-887.

A brief survey of the current status of the United States patent system, critical of recent court interpretations. Prepared as a thesis for Bachelor

of Science degree at Princeton University.

90. WHITE, WALLACE H. THE AMERICAN PATENT SYSTEM. Congressional Record, volume 88, part 4, June 29, 1942, pages 5689-5696. Reprinted in Journal of the Patent Office Society, volume 24, September 1942, pages

A defense of the American patent system with critical views on legislative proposals for changes in the system then before the Senate Committee

on Patents.

91. WOOD, LAURENCE I. THE UNITED STATES PATENT SYSTEM: A SURVEY. (National economic problems No. 420.) New York, American Enterprise Association, 1946, 39 pages.

A very brief survey of the American patent system and proposals made

for combatting abuses of the system.

#### II. HISTORY OF PATENTS 1

92. ALLYN, ROBERT STARR. SUPREME COURT PATENT CASES, 1875 TO 1881 VERSUS 1935 TO 1941. Journal of the Patent Office Society, volume 25, January 1943, pages 27-51.

A comparison of Supreme Court opinions at two 6-year periods 60 years apart. No significant difference in the court's position was found. ther holds that no attempt should be made to set up more specific standards

of invention

93. BRIGHT, ARTHUR A., JR. and W. RUPERT MACLAURIN. Eco-nomic Factors Influencing the Development and Introduction of THE FLUORESCENT LAMP. Journal of Political Economy, volume 51, 1943, pages 429-450.

An economic study of a new industry, in which the patent position of

companies involved plays a conspicuous role.

THE ELECTRIC-LAMP INDUSTRY: TECHNOLOGICAL CHANGE AND ECONOMIC DEVELOPMENT FROM 1800 TO 1947. New York, Macmillan, 1949, 526 pages.

The section on the patent system, pages 468-479, makes recommendations for modifications in the patent laws in the light of the operation of the patent system in the electric-lamp industry. Numerous illustrations of patent litigation are given in the volume.

<sup>!</sup> See also: Part III, Concept of inventions and their patentability; Part IV, Patents and technology; Part IX, Patent policies in foreign countries and in international agreements; and Part XI, Patent Office procedures and administrative aspects of patents.

95. BYERS, J. HAROLD. THE SELDEN CASE. Journal of the Patent Office

Society, volume 22, October 1940, pages 719-736.

A discussion of one of the most important patent controversies in the history of American industry, dealing with the internal combustion engine.

Written by an examiner in the United States Patent Office.

96. CARR, CHARLES C. ALCOA—AN AMERICAN ENTERPRISE. New York,

Rinehart, 1952, 292 pages.

A history of the Aluminum Company of America by a former director

of public relations of the company. Has several references to patents and patent litigation in the company's history, especially in the early period.

97. CLARK, G. W. EARLY CAPITALISM AND INVENTORS. Economic History

Review, volume 6, April 1936, pages 144-156.

Includes consideration of early patent policy in Britain.

98. DREHER, CARL. E. H. Armstrong: the Hero as Inventor. Harper's Magazine, volume 212, April 1956, pages 58-66.

A biographical sketch of a leading electronic inventor, including a discussion of patent controversies in which he was engaged.

99. EGLOFF, GUSTAV. INVENTION AND THE OIL INDUSTRY. Journal of the Patent Office Society, volume 26, December 1944, pages 820-837.

A popular review of the principal inventions in the oil industry with

some references to the role of patents.

100. EWING, THOMAS. THE AMERICAN PATENT SYSTEM. Journal of the Patent Office Society, volume 19, January 1937, pages 32-46.

A historical review of the patent system by the Commissioner of Patents,

1913-17

101. FEDERICO, P. J. THE FIRST PATENT ACT. Journal of the Patent Office Society, volume 14, April 1932, pages 237-252. A legislative history of the Patent Act of 1790.

THE INVENTION AND INTRODUCTION OF THE ZIPPER. Journal of 102. the Patent Office Society, volume 28, December 1946, pages 855-876.

A detailed account of the steps taken and related patents in the invention

and development of the zipper.

OPERATION OF THE PATENT ACT OF 1790. Journal of the Patent 103. Office Society, volume 18, April 1936, pages 237-251.

A critical analysis of the elements contributing to the operation and development of the patent law of 1790. A sequel to the author's "The

First Patent Act" [item No. 101]. THE PATENT TRIALS OF OLIVER EVANS. Journal of the Patent Office Society, volume 27, September and October 1945, pages 586-613,

104. -

A history of the patents and patent controversies surrounding the inventions by Oliver Evans in flour milling and steam engines during the late 18th and early 19th centuries.

105. FENNING, KARL. NRA Comes. Journal of the Patent Office Society, volume 16, March 1934, pages 189–203.

A report on the NRA's effect on patents.

THE ORIGIN OF THE PATENT AND COPYRIGHT CLAUSE OF THE 106. Constitution. Georgetown Law Journal, volume 17, February 1929, pages 109-117.

This article gives the developmental steps contributing to the origin of the patent and copyright clause in the Constitution.

PATENTS AND NATIONAL DEFENSE. Journal of the Patent Office 107. Society, volume 22, December 1940, pages 869–884.

A historical report from World War I to 1940 on governmental defense

laws and regulations affecting patents.

108. FOX, HAROLD G. Monopolies and Patents; a Study of the History and Future of the Patent Monopoly. Toronto, 1947, 388 pages. (University of Toronto studies, legal series, extra vol.).

A scholarly study in two parts: (1) History of Monopolies and Patents of Invention, and (2) Invention and the Patent Law. Particularly detailed information on England's 16th and 17th century patents and monopolies.

Includes a 6-page bibliography.

109. FRUMKIN, M. The Origin of Patents: Chambers Journal, eighth series, volume 12, January 1943, pages 21-23. Reprinted in the Journal of the Patent Office Society, volume 27, February 1945, pages 143-149.

A brief review of the early history of patents.

110. GOLDSMITH, HARRY. ABRAHAM LINCOLN, INVENTIONS AND PATENTS: Journal of the Patent Office Society, volume 20, January 1938, pages 5-33. An interesting historical sketch by a Patent Office examiner.

111. GOTTSCHALK, ROBERT, SOME RECENT PATENT DECISIONS AND CURRENT TRENDS. Journal of the Patent Office Society, volume 25,

February 1943, pages 80–99.

112. FURTHER COMMENTS ON RECENT PATENT DECISIONS AND CURRENT TRENDS. Journal of the Patent Office Society, volume 26, March 1944, pages 151-190.

These two articles (items No. 111 and 112) comprise a review, largely

critical in tone, of judicial trends in the patent field.

113. GRAUE, ERWIN. INVENTIONS AND PRODUCTION. I Statistics, volume 25, November 1943, pages 221-223. Review of Economic

A presentation of an index of patents issued in the United States, and the relationship between fluctuations in this index and those of industrial

production and general business activity.

114. HASTINGS, GEORGE S. THE CASE HISTORY OF AN INVENTION. Journal of the Patent Office Society, volume 33, October 1951, pages 703-713. A review of the invention of the bread-wrapping machine and the role of patents therein.

115. INLOW, E. BURKE. THE PATENT GRANT. Baltimore, Johns Hopkins University Press, 1950, 166 pages. (The Johns Hopkins University studies in historical and political science, series 67, No. 2).

Primarily a legal study of patent grants, including English and American background of such grants. Analyzes legal and economic aspects of

patent property. Includes an index of cases.

116. KEIPER, FRANK. PIONEER INVENTIONS EIPER, FRANK. PIONEER INVENTIONS AND PIONEER PATENTS; A LECTURE ON PATENT LAW DELIVERED TO THE SENIOR ENGINEERING STUDENTS OF PURDUE UNIVERSITY OF THE CLASSES OF 1922, 1923, AND 1924 AND PREVIOUS CLASSES. Rochester, N. Y., Pioneer Publishing Co., 1924, second edition, 152 pages.

A volume illustrating the role of patents in a number of widely used

inventions. Specimens of patents and patent drawing for major inventions

are included.

117. LESSING, LAWRENCE P. MAN OF HIGH FIDELITY: EDWIN HOWARD

ARMSTRONG. Philadelphia, Lippincott, 1956, 320 pages.

A biography of the inventor of the amplifying circuit, the "feedback" circuit, the superheterodyne, and frequency modulation. The biography describes Major Armstrong's inventive activities and his long and bitter patent litigation, especially with deForest and RCA. The author makes an impressive case for the indispensability of the independent inventor, and at the same time portrays the difficulties that beset him in the modern world of large corporate research laboratories.

118. LUTZ, KARL B. PATENTS AND SCIENCE, A CLARIFICATION OF THE PATENT CLAUSE OF THE UNITED STATES CONSTITUTION. George Washington Law Review, volume 18, December 1949, pages 50-55. Reprinted in the Journal of the Patent Office Society, volume 32, February 1950, pages

The author contends that the constitution does not link patents with

science, but merely authorizes patents for discoveries in the useful arts.

The Supreme Court RE: Patents for Inventions. University 119. of Pittsburgh Law Review, volume 13, Spring 1952, pages 449-461.

The contention is made that the Supreme Court has in recent years "reversed our traditional policy toward inventions and has discouraged inventors and their backers." Lutz criticizes the basic social philosophy of the Supreme Court and contends that the patent clause has been read "in a modern vacuum" by the court without examining its background. He appeals for patent liberalization by Congress.

ARE THE COURTS CARRYING OUT CONSTITUTIONAL PUBLIC POLICY ON PATENTS? Journal of the Patent Office Society, volume 34, October 1952, pages 766-791.

A presentation of the case that the courts are distorting the intent 120. -

of the Constitution and subsequent congressional legislation in the field **8**5) के ने कार के प्रतिकार के किया है के अपने के किया है है के किया है किया है किया है किया है किया है किया है कार्युक्त के निर्माण किया किया है कि किया है of patents.

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121. MACHLUP, FRITZ, and EDITH PENROSE. THE PATENT CONTRO-VERSY IN THE NINETEENTH CENTURY. Journal of Economic History,

volume 10, May 1950, pages 1-29.

A survey of the patent controversy in England and the Continent from 1850 to 1875 with recommendations for reform and abolition of the system made at the time. Article attempts to give a systematic account of the patent controversy and to show that "our thinking on the subject has hardly changed over the century."

122. MACLAURIN, W. RUPERT. Invention and Innovation in the Radio Industry. New York, Macmillan, 1949, 304 pages.

Chapter 6, The struggle over patents, 1921-1928, pages 111-131, gives a vivid picture of the role of patents in the development of radio; in the concluding chapter, a section deals with patents and monopoly, pages 256-260; and appendix II deals with radio patent litigation, pages 269-291. references to patents are included.

123. MEIGS, JOSEPH V. Panics, Prosperity and Patents. United States Law Review, volume 66, May 1932, pages 243-251.

A commentary on the importance of the patent system and its stabilizing

effect on the economy.

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Washington Law Review, volume 20, March 1952, pages 371-408.

The author believes "the defense that patent claims are for monopolies of natural actions" to be unsound. If such a defense were to be allowed it would logically be extended to all patentable items, "for whether the subject of the claim is a machine, a method, or a material composition, the truth must be admitted that the operation \* \* \* consists of naught but

natural actions governed by the natural laws."

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machine, manufacture, composition of matter, and plants.

167. U. S. LIBRARY OF CONGRESS, LEGISLATIVE REFERENCE SERV-ICE. EFFORTS TO ESTABLISH A STATUTORY STANDARD OF INVENTION. By Victor Edwards. Study No. 7 of the Subcommittee on Patents, Trademarks, and Copyrights of the Senate Committee on the Judiciary. Washington, U. S. Government Printing Office, 1957, 29 pages (85th Cong., 1st sess., Senate committee print).

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Author concludes: "Invention being a matter of opinion except in well-defined cases, a so-called standard of invention can almost be said to vary between zero and infinity. As invention is to be used to promote the useful arts, and, since the promotion of useful arts is an economic problem, the standard of invention as applied by the courts should be grounded in economic considerations."

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Part I includes sections on inventions of industrial value, medical patents, and patent policies of universities, institutions, and the Federal Government. Part II considers the protection of scientific property. Committee concludes that "no effort should at present be made to develop a plan for protecting scientific property" since according to the committee there appears to be no need for such legal protection as incentive to the scientist. Includes bibliographic footnotes.

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3 See also: Part II, History of Patents. 

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JUNE 21, 1948. (Cleveland, 1948.) 75 pages.

A series of six addresses intended to portray the role of the patent system in our economic society and to show its importance in the operations of a manufacturer; to describe the operation of the patent system and advise on problems in securing patents; and to present some legal problems involving patents. Speakers were Crosby Field, John Dienner, Lawrence C. Kingsland, Dexter S. Kimball, Norman N. Holland, and Casper Ooms.

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#### V. PATENT ASPECTS OF ATOMIC ENERGY LEGISLATION 4

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A critical analysis of the Atomic Energy Act of 1954, with particular reference to the patent provisions of the act. The author feels that the patent subsidy and licensing provisions will tend to limit competitive development of this new industry. Reprinted as Chapter 7: Legislation and Atomic Energy, pages 142–163, in Adams, Walter, and Horace M. Gray, "Monopoly in America, the Government as Promoter," 1955, 221

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The authors conclude that the act should "be amended to preserve the

public rights under Government-financed inventions, but should apply normal patent procedure to privately financed inventions. To accomplish this, section 152 should be substantially revised and section 153 should be repealed."

195. BOSKEY, BENNETT. INVENTIONS AND THE ATOM. Columbia Law Review, volume 50, April 1950, pages 433-447. Reprinted with minor revisions in the Journal of the Patent Office Society, volume 32, August 1950, pages 563-582.

A review of problems facing the Patent Compensation Board of the Atomic Energy Commission in carrying out the patent provisions of the Atomic Energy Act of 1946.

196. OPERATION OF THE PATENT PROVISIONS OF THE ATOMIC ENERGY Acr. Journal of the Patent Office Society, volume 32, November 1950, pages 808-820.

A review of the patent aspects of the administration of the 1946 Atomic Energy Act.

197.

PATENTS UNDER THE NEW ATOMIC ENERGY ACT. Journal of the Patent Office Society, volume 36, December 1954, pages 867-882.

A review of the patent provisions of the Atomic Energy Act of 1954 as contrasted with the original Atomic Energy Act of 1946.

198. Some Patent Aspects of Atomic Power Development Law and Contemporary Problems, volume 21, Winter 1956, pages 113-131.

A critical evaluation of the Atomic Energy Act of 1954, and, in particular, of section 152 of the act, which vests in the Government, rather than in the inventor, the ownership of atomic energy inventions conceived under contracts with the Atomic Energy Commission.

199. COLE, STERLING. PATENTING NUCLEAR DEVELOPMENTS. Nucleonics, volume 13, April 1955, pages 31-35.

volume 13, April 1955, pages 31-35.

Fourth in a series of articles on the meaning of the Atomic Energy Act of 1954 by the chairman of the Joint Committee on Atomic Energy in the 83d Congress. Author discusses patent provisions of the law and patent

problems remaining, with particular reference to the relative rights of the Government and the private inventor.

200. THE CONSTITUTIONALITY OF THE PATENT PROVISIONS OF THE 1954 ATOMIC ENERGY ACT. University of Chicago Law Review, volume 22, Summer 1955, pages 920-927.

An evaluation of the constitutionality of the compulsory licensing provisions in the 1954 Atomic Energy Act.

<sup>\*</sup> See also: Part VI, Patent Policy on Government-Sponsored Research and Government-Owned Patents.

201. GALANE, MORTON R. STANDARDS FOR A REASONABLE ROYALTY UNDER THE ATOMIC ENERGY COMPULSORY LICENSING PROGRAM. Virginia Law Review, volume 38, January 1952, pages 53-68. Reprinted in Journal of the Patent Office Society, volume 34, December 1952, pages 927-944.

Author concludes that Congress should formulate standards for a

reasonable royalty that insures the attainment of a sound patent system, and believes that the "prudent investment" theory should be incorporated

as the statutory standard.

202. GROSS, MARTIN L. HOW AMERICA "BORROWED" THE A-BOMB. volume 34, February 1954, pages 19–20, 22, 24, 26, 88–90.

A narrative of the patents which made the atomic bomb possible.

203. HAFNER, THEODORE. ATOMIC ENERGY AND PATENT LAW. of the Patent Office Society, volume 33, January 1951, pages 35-57.

A review of the Atomic Energy Act of 1946, its history and operation,

with suggested amendments.

204. MILLER, BYRON S. THE FIRST OFFICIAL REPORT ON AEC PATENT Bulletin of the Atomic Scientists, volume 4, March 1948, PROBLEMS. pages 77-80. A summary and analysis of the September 1947 Report of the AEC's

Advisory Panel on Patents, under the chairmanship of Casper W. Ooms, dealing with patent provisions of the Atomic Energy Act of 1946 and their

administration

205. MOBILLE, GEORGE T. PATENT LAW: THE EFFECT OF THE ATOMIC ENERGY ACT OF 1946. Cornell Law Quarterly, volume 36, Spring 1951, PATENT LAW: THE EFFECT OF THE ATOMIC pages 580-589.

An appraisal of the patent provisions of the Atomic Energy Act of 1946.

206. NATIONAL ASSOCIATION OF MANUFACTURERS, PATENTS AND RESEARCH COMMITTEE. WHAT'S AHEAD FOR PATENTS, INDUSTRIAL RESEARCH, AND ATOMIC ENERGY? New York, 1947, 43 pages.

A symposium containing addresses by Robert H. Hutchins and Senator Alexander Wiley, as well as a panel discussion on the role of Government

in research.

207. NEWMAN, JAMES R., and BYRON S. MILLER. THE CONTROL OF ATOMIC ENERGY; A STUDY OF ITS SOCIAL, ECONOMIC, AND POLITICAL IMPLICATIONS. New York, Whittlesey House, 1948, 434 pages.

Chapter 8: Patents and Inventions, pages 142-169, provides an evaluation of the patent provisions of the Atomic Energy Act of 1946. conclude that the act "eliminated from a whole vast area of enormous. potential, economic significance all possibility of manipulating patents as an instrument for achieving privileged position and monopoly control."

The patent provisions of the act are reprinted on pages 305-309.

208. OOMS, CASPER W. THE PATENT PROVISIONS OF THE ATOMIC ENERGY ACT. University of Chicago Law Review, volume 15, 1948, pages 822-838. A detailed review of the patent provisions in the Atomic Energy Act. Author doubts that the patent provisions of the act will have any substantial effect, pro or con, on the industrial economy or the development of

industrial applications of atomic energy.

REVISIONS OF THE PATENT PROVISIONS—GOOD OR BAD? In National Industrial Conference Board, Atomic Energy in Industry, minutes of Third Conference, October 13–15, 1954. New York, 1955, pages 209.

One of four addresses of a panel on significance of the amendments to the Atomic Energy Act. A balanced evaluation of the Atomic Energy Act of

1954.

SOME SUGGESTIONS RELATING TO PATENT PROVISIONS IN ATOMIC 210. ENERGY LEGISLATION TO PROTECT THE PUBLIC INTEREST. Journal of the Patent Office Society, volume 38, January 1956, pages 38-62.

A review of patent problems in atomic energy legislation by a former Commissioner of Patents and Chairman, Patent Compensation Board,

United States Atomic Energy Commission.

211. SCHMIDT, JOHN F. COMPULSORY LICENSING AND NATIONAL DEFENSE: DANGER IN ABANDONING OUR PATENT SYSTEM. American Bar Association Journal, volume 35, June 1949, pages 476-479.

The author is disturbed by compulsory licensing provisions of the Atomic Energy Act of 1946. Holds that an international patent system that would grant inventors exclusive rights to their atomic inventions for a limited

time is needed.

212. U. S. CONGRESS. JOINT COMMITTEE ON ATOMIC ENERGY. PATENT ASPECTS OF THE ATOMIC ENERGY ACT. Hearing, March 31, 1950, Washington, U. S. Government Printing Office, 1950, 8 pages.

A brief hearing designed primarily to exempt members of the General Advisory Committee to the Atomic Energy Commission from any curtailment of their patent rights based on their activities prior to their membership on the committee.

213. U. S. CONGRESS. SENATE. SPECIAL COMMITTEE ON ATOMIC ENERGY. Atomic Energy Act of 1946. Hearings on S. 1717, January 22-April 8, 1946, Washington, U. S. Government Printing Office, 1946,

539 pages in 5 parts.

Witnesses with respect to the patent provisions of the proposed Atomic Energy Act of 1946 included William H. Davis, former Director of the Office of Economic Stabilization (pp. 46-69); George E. Folk, patent adviser, NAM (pp. 297-315); Irvin Stewart, Deputy Director, Office of Scientific Research and Development (pp. 331-337); and Capt. Robert A.

Lavender, U. S. Navy (pp. 337-358).

214. VALIMONT, ROBERT W. Atomic Energy Patent Provisions and the American Economy. University of Pennsylvania Law Review, volume 97, February 1949, pages 389-403. Reprinted in the Journal of the

Patent Office Society, volume 31, October 1949, pages 743-766.

An evaluation of the Atomic Energy Act of 1946 and its effects on the American economy. Includes recommendations for adapting the act so as to give private enterprise a larger role in the development of atomic energy.

#### VI. PATENT POLICY ON GOVERNMENT-SPONSORED RESEARCH AND GOVERNMENT-OWNED PATENTS 5

215. BOWEN, HAROLD G. SHIPS, MACHINERY AND MOSSBACKS; THE AUTO-BIOGRAPHY OF A NAVAL ENGINEER. Princeton, Princeton University Press, 1954, 397 pages.

Includes a section, pages 345-360, on the author's experience as Director of the Naval Research Laboratory with patent policies as they affected

employees of the NRL.

216. BOYLE, JOHN. EXPLOITATION OF GOVERNMENT-OWNED PATENTS. nal of the Patent Office Society, volume 35, March 1953, pages 188-213. Author concludes that Congress should not overlook Government-owned patents as a source of revenue and should question the executive policy of freely granting to private interests valuable rights to inventions and patents

that belong to all the taxpayers.

217. BRODER, SIMON. GOVERNMENT OWNERSHIP OF PATENTS. Journal of the Patent Office Society, volume 18, October 1936, pages 697-708.

An analysis of the problems connected with Government ownership of patents. By a Washington, D. C., patent attorney.

218. CLARK, TOM C. GOVERNMENT LIABILITY FOR USE OF PATENTED INVENTIGATION OF TAXABLE AND OPERATED TO THE PATENTED OF TAXABLE TOWNERS 1-27.

218. CLARK, TOM C. GOVERNMENT LIABILITY FOR USE OF PATENTED TIONS. Temple Law Quarterly, volume 20, July 1946, pages 1-27.

This discussion by the Attorney General of the United States illustrates the problems with which the Government and the claimant are faced when the Government has utilized patented inventions. Article deals mostly with the financial responsibility arising out of use of privately owned inventions,

under the Act of June 25, 1910, as amended.
219. CLINTON, FRANK B. THE PATENT STATUS OF FEDERAL EMPLOYEES. Georgetown Law Journal, volume 22, November 1933, pages 58-72.

This article discusses the treatment under the law of both Federal employees and those in private employment with respect to their rights under patents on inventions made by them. The main emphasis is upon the legal status of the Federal employee.

THE ROLE OF INVENTION IN NATIONAL DEFENSE. 220. COE, CONWAY P. Journal of the Patent Office Society, volume 24, January 1942, pages 6-14. A radio address by the Commissioner of Patents, November 24, 1941.

221. FORMAN, HOWARD I. THE GOVERNMENT PATENTS BOARD-DETERMINATION OF PATENT RIGHTS IN INVENTIONS MADE BY GOVERNMENT EM-Journal of the Patent Office Society, volume 35, February 1953, pages 95-127

An examination of the background and first years of the Government Patent Board, and its functions on behalf of the government and govern-

ment employees.

<sup>5</sup> See also: Part V, Patent Aspects of Atomic Energy Legislation.

——. UNITED STATES PATENT OWNERSHIP POLICY AND SOME OF ITS ADMINISTRATIVE IMPLICATIONS. Journal of the Patent Office Society, volume 38, June-December 1956, pages 380-424, 478-518, 534-578, 647-668, 705-735, 762-792, 866-876, and volume 39, January 1957, pages 62 - 65.

A doctoral thesis completed in 1955 at the University of Pennsylvania on patent rights of the United States Government. Includes an extensive

bibliography on the subject.

PATENTS, INVENTIONS BY FEDERAL EMPLOYEES AND 223. GARBÉR, ELIOT. CONTRACTORS, DISPOSITION OF TITLE AND REWARDS. Journal of the Patent Office Society, volume 35, June 1953, pages 426-441.

A review of the problems of appropriate rewards and recognition for

inventions made by Government employees and contractors.

224. JOHNSON, D. H. N. ENCOURAGING INVENTIONS BY GOVERNMENT EM-OYEES. Modern Law Review, volume 13, October 1950, pages 428-444. The object of this paper is to investigate the methods adopted to en-PLOYEES. courage inventions by government employees, not only in the United States but also in the Soviet Union.

225. LIEBHAFSKY, E. E. FEDERAL POLICY ON SCIENTIFIC RESEARCH. Science

and Society, volume 19, Summer 1955, pages 219-239.

A survey of the changes between pre-World War II and post-World War II policies of the Federal Government on scientific research. Includes a discussion of patent rights resulting from Federal expenditures on

226. NATIONAL POLICY COMMITTEE. MEMORANDUM OF THE NEW YORK DINNER ON PATENTS ON GOVERNMENT RESEARCH [December 7, Washington, National Policy Committee, 1946, 27 pages (National Policy Memorandum No. 45).

A discussion criticizing lack of uniform policy for patents on Govern-

ment research and suggesting various reforms.

227. SARGEANT, HOWLAND H., and HENRIETTA L. CREAMER. ENEMY PATENTS. Law and Contemporary Problems, volume 11, Winter-Spring 1945, pages 92-108.

Discussion of disposition of patents vested in Alien Property Custodian. Authors hold that it is unlikely that enemy patents seized in World War II will be returned to former owners. Part of a symposium on enemy

property. 228. SECURITY ECURITY INVENTIONS: COMPENSATION UNDER PATENT AND ATOMIC ENERGY ACTS. Indiana Law Journal, volume 31,

Fall 1955, pages 90-104.

An evaluation of the conflict between the concepts of secrecy required for national security and full disclosure, a basic element of the patent The author points out the constant need for reappraisal of the secrecy provisions of existing patent legislation in the interests of national defense and national welfare

229. U. S. DEPARTMENT OF JUSTICE. INVESTIGATION OF GOVERNMENT PATENT PRACTICES AND POLICIES. Report and recommendations of the Attorney General to the President. Washington, U.S. Government

Printing Office, 1947. 982 pages, in 3 volumes.

A detailed series of studies and recommendations relating to patent policies and practices of Government departments relating to inventions of their employees and contractors. These series comprise (1) the finding, conclusions, and recommendations of the Attorney General; (2) a monograph on relevant principles of law relating to rights in inventions of Government employees and contractors; (3) a monograph summarizing prior studies, proposals and recommendations made by Government agencies; (4) monographs on patent practices and policies of 14 Government departments and agencies; (5) a monograph on patent practices and policies of 10 foreign governments; (6) two monographs on patent practices of educational and nonprofit organizations and of industrial laboratories; and (7) a bibliography of 229 items.

The foregoing materials are contained in the 3 volumes, as follows: volume 1: Final Report Proper, 146 pages; volume 2: Monographs on Governmental Departments and Agencies, 508 pages; volume 3: Monographs on Nongovernmental Organizations, Foreign Countries, Legal and

Historical Studies, and Bibliography, 328 pages.

230. U. S. GOVERNMENT PATENTS BOARD, OFFICE OF THE CHAIR-MAN. A PROPOSED GOVERNMENT INCENTIVES, AWARDS, AND RE-WARDS PROGRAM. Washington, U. S. Government Printing Office, 1952, 27 pages.

Recommendations made by an interagency working committee on incentives, awards, and rewards, as well as an examination and analysis of

existing laws and current practices.

REPORT OF THE CHAIRMAN \* \* THROUGH JUNE 30, 1953, TO THE PRESIDENT OF THE UNITED STATES. Washington, U. S. Government 231. Printing Office, 1954, 18 pages.

First report of the agency established by Executive Order 10096, January 23, 1950, to administer the Government's patent policy with respect

to inventions made by Government employees.

232. VOIT, RICHARD L. Powers and Policies of Alien Property Cus-TODIAN RELATING TO PATENTS. George Washington Law Review, volume 12, April 1944, pages 330-345.

A historical survey describing the rights and powers possessed by the

Alien Property Custodian relating to patents.

#### VII. PATENTS AND ANTITRUST, INCLUDING PATENT POOLING PROBLEMS

#### A. IN GENERAL

233. ABBOTT, EDWIN, Jr. PATENTS AND THE SHERMAN ACT. Columbia Law Review, volume 12, December 1912, pages 709-723.

An early article outlining the effect of the Sherman Antitrust Act on

Patents.

234. AIRCRAFT MANUFACTURERS' CROSS-LICENSE AGREEMENT.

Air Law Review, volume 7, January 1936, pages 98-115.

A survey on the agreement and how its operation handicapped the military program of the Government. The agreement was formed in 1918,

during the war, for the purpose of removing the barrier to the Army program created by conflicting patents.

235. ARNOLD, THURMAN W. THE ARUSE OF PATENTS. Atlantic Monthly, volume 170, July 1942, pages 14-20. Reprinted in the Journal of the Patent Office Society, volume 24, August 1942, pages 531-544. [See item No. 266.]

An indictment of the patent system insofar as it fosters monopoly

control.

236. BARNARD, ROBERT C., and ZLINKOFF, SERGEI S. PATENTS PROCEDURE, AND THE SHERMAN ACT—THE SUPPEME COURT AND A COM-

PETITIVE ECONOMY, 1949 TERM. George Washington Law Review, volume 17, December 1948, pages 1-58.

"The public policy of free competition embodied in the antimonopoly statutes is the paramount principle that \* \* \* seems to underlie" the views of the majority of the Supreme Court in cases dealing with "(a) the validity of patents, (b) procedural problems arising in antitrust litigations, and (c) the interrelation between the scope of the patent privilege and the Sherman Act."

237. BARNETT, OTTO R. PATENT PROPERTY AND ANTIMONOPOLY LAWS.
Indianapolis, Bobbs-Merrill Co., 1943, 622 pages.

This detailed study is designed to "clarify the distinction between the

lawful control of the beneficial monopoly provided for by the Constitution to 'promote progress in science and the useful arts' and the misuse of the patent grant, outside the field secured by it, in violation of the antimonopoly laws." In general defends the patent system. In general defends the patent system. Includes among 20 appendixes, the patent laws, various bills, and reprints of a number of articles,

reports, and legal decisions.

238. BARNETT, SHERMAN R. "WITHIN HIS DOMAIN THE PATENTEE IS CZAR," A SURVEY OF HIS DOMAIN WITH REGARD TO PRICE FIXING AND THE ANTITRUST LAWS. Journal of the Patent Office Society, volume 17,

February 1935, pages 92-119.

A presentation of the thesis that, subject to the law of the land with respect to other property, the patentee holds a monopolistic position. Includes discussion of judicial acts limiting this monopolistic position.

<sup>\*</sup> See also: Part V, Patent Aspects of Atomic Energy Legislation; and Part X, Patents and International Cartels.

239. BATEMAN, ROY D. Should Antifrust LAW Penalities of Unenforce-ABILITY OF THE PATENT MONOPOLY BE INVOKED FOR MISUSE OF THE PATENT GRANT? Journal of the Patent Office Society, volume 29, January

1947, pages 16-69.

A detailed study of court cases involving misuse of patent grants. Cases are classified and discussed in two groups: (a) Those in which the court approached the misuse as an antitrust violation and (b) those holding the patent monopoly to be temporarily unenforceable, pending discontinuance

of the misuse and dissipation of its evil effects.

240. BEACH, ROBERT WILLIS. PATENT CROSS-LICENSING AGREEMENTS
AND METHODS OF THEIR ADMINISTRATION. Journal of the Patent Office
Society, volume 19, August and September 1937, pages 578-596, 646-670.
Discussion of patent cross-licensing agreements of the Automobile
Manufacturers Association, the Manufacturers Aircraft Association, the Petroleum Distillation Corp., and various concerns in the radio and elec-A doctoral thesis. trical fields.

241. BORKIN, JOSEPH. PATENTS AND THE NEW TRUST PROBLEM. Law and Contemporary Problems, volume 7, Winter 1940, pages 74-81.

Discussion of the line of demarcation between proper and improper use

of the patent privilege under the Sherman Act.
242. BROWN, JO BAILY. RELATION OF THE ETHYL GASOLINE CASE TO RESTRICTIONS IN PATENT LICENSES. Journal of the Patent Office Society, volume 22, June 1940, pages 400-409.

A review of the Ethyl Gasoline case, decided March 25, 1940, and its effect on the legality of conditions that patent owners may impose in

connection with the grant of licenses to use patented inventions.

243. CALLMANN, RUDOLF. PATENT LICENSE AGREEMENTS BETWEEN COMPETITORS AND THE MONOPOLY ISSUE. Georgetown Law Review, volume 28, April 1940, pages 871-907.

The author, a well-known expert on cartels and monopoly law, recommends a modification of antitrust law to permit certain concerted actions by businessmen, but not without the impartial supervision of some govern-Such concerted actions would include various kinds of mental board. patent licensing agreements.

244. CELLER, EMANUEL. PATENTS AND MONOPOLY. Journal of the Patent Office Society, volume 38, June 1956, pages 425-439. Also in Congressional Record (daily), volume 102, May 28, 1956, pages A4256-A4259. An address presented before the New York Patent Law Association,

May 24, 1956.

245. CHAMBERLIN, WALTER H. PATENTED ARTICLES: WHEN ARE THEY EMANCIPATED FROM THE PATENT MONOPOLY UNDER WHICH MANUFACTURED? Illinois Law Review, volume 6, January 1912; pages 357-372.

The purpose of this article is to illustrate the disadvantages that occur

in patent restrictions imposed on purchasers of patented articles.

246. CLAPP, NEWELL A. SOME RECENT DEVELOPMENTS IN PATENT-ANTI-TRUST LAW. Marquette Law Review, volume 36, Fall 1952, pages 143-155. Reprinted in the Journal of the Patent Office Society, volume 34, December 1952, pages 945-961.

An address before the Milwaukee Patent Law Association, October 30. 1952, by the then Acting Assistant Attorney General of the United States.

247. COOPEŘ, DRURY OOPER, DRURY W. PATENTS, CARTELS, AND ANTITRUST LAWS. Journal of the Patent Office Society, volume 27, June 1945, pages 382-402. An address before the Economic Club of Detroit, March 12, 1945. Author holds that the patent system of the United States is threatened with annihilation.

248. CURRIE, WILLIAM E. COOPERATIVE RESEARCH AND THE ANTITRUST LAWS. Journal of the Patent Office Society, volume 36, October 1954,

pages 690-712.

A review of the problems connected with cooperative research and patent pooling as related to possible antitrust violations.

249. DARBY, SAMUEL E., Jr. The Alleged "Abuses" of the American Patent System. Journal of the Patent Office Society, volume 25, February 1943, pages 100-131.

A review of 51 alleged abuses of the patent system; less than a fifth

are believed by the author to require new or additional legislation.

250. DIGGINS, BARTHOLOMEW. THE PATENT-ANTITRUST PROBLEM.
Michigan Law Review, volume 53, June 1955, pages 1093-1118.
Part of a symposium on the report of the Attorney General's National Committee To Study the Antitrust Laws. The author, contrary to the

views of the committee, holds there is no basic patent-antitrust conflict.

251. DIGGINS, BARTHOLOMEW A., and ROBERT A. NITSCHKE.

PATENT PRACTICES UNDER THE ANTITRUST LAWS. Lecture delivered on July 23, 1951, as part of the annual course on current problems in patent law conducted by Practicing Law Institute, New York, 1951, pages 33-68. Practical considerations and guideposts with respect to carrying out a legal and profitable patent policy are explored in relation to the antitrust laws. Conclusions: "Patent acquisition, generally, has little or no antitrust significance \* \* \*, a nonmanufacturing patentee can probably not impose such limitations (as a manufacturing patentee) \* \* \*, such limitations cannot be imposed on a plurality of licensees if the effect is to suppress competition \* \* \*; a group of patentees cannot impose such restrictions."

restrictions." 252. DOMINICK, WILLIAM E. RECENT DEVELOPMENTS IN THE LAW OF PRICE RESTRICTIONS IN PATENT AGREEMENTS. George Washington Law

Review, volume 11, 1942-43, pages 302-327.

An analysis of the Univis and Masonite cases "reveals that patent rights are no longer so 'definite and extensive' as they once were considered." The author suggests that the Supreme Court, in attempting to further the public policy declared in the antitrust laws, was "deliberately going out

of its way to curtail the value of the patent right."

253. FEUER, MORTIMER. THE PATENT MONOPOLY AND THE ANTITRUST Columbia Law Review, volume 38, November 1938, pages 1145-Laws.

1178.

A review of monopoly aspects of patents with recommendations "designed to relax the patent monopoly and to put teeth into the antitrust

254.

Law Quarterly, volume 14, February 1940, pages 180-194.

Concludes that the TNEC recommendation on patents, broadened further to include President F. D. Roosevelt's recommendation of across-the-board

compulsory licensing, should be enacted.

255. FOLK, GEORGE E. SCOPE AND LIMITATIONS OF THE PATENT MONOPOLY. NAM Law Digest, volume 2, April 1938, pages 149-156. Reprinted in Journal of the Patent Office Society, volume 22, February and March 1940, pages 135-154, 184-210.

A pair of articles dealing with legal aspects of a patentee's rights under the patent and antitrust laws, and a criticism of patent recommendations made by the Department of Justice and approved by the Temporary

National Economic Committee.

256. GILFILLAN, S. COLUM. PATENT POOLING AND ITS BETTERMENT. Chicago, the author, 1939, 29 pages (mimeographed).

An evaluation of patent pooling and a proposal for a new system of

making it the principal form of inventing and patenting.

257. HARTFORD-EMPIRE v. UNITED STATES: INTEGRATION OF THE ANTITRUST AND PATENT LAWS. Columbia Law Review, volume 45, July 1945, pages 601-625.

A discussion of problems involved in integrating the antitrust and patent laws, arising out of the Supreme Court decision in Hartford-Empire v.

United States

HAROLD C. THE LEGAL STATUS OF INDUSTRIAL 258. HAVIGHURST, CONTROL BY PATENT. Illinois Law Review, volume 35, January 1941, pages 495-518.

Author recommends legislation "making it unlawful for the holder of a patent to impose upon licensees restrictions with respect to price, produc-

tion, or markets."

259. HOFFMAN, A. C. Large-Scale Organization in the Food Industries. Washington, U. S. Government Printing Office, 1940, 174 pages (Temporary National Economic Committee, Monograph No. 35).

Chapter 13, pages 121-143, is devoted to patent control in the food industries. Recommendations for revision of patent law and procedure

are included.

260. HOLLABAUGH, MARCUS A. PATENTS AND ANTITRUST LAWS. University of Cincinnati Law Review, volume 25, Winter 1956, pages 43-68. The purpose of this paper is to explore certain of the basic causes of the controversy; to examine developments which tend to explain the nature of the patent-antitrust problem today; to indicate principles which might be useful in resolving certain patent-antitrust questions which might arise; and to discuss the current status of the "General Electric doctrine." 261. HOUSE, JOSEPH P., JR. THE PATENT AND ANTITRUST LAWS. Wisconsin

Law Review, volume 1949, July 1949, pages 785-795.

An attempt to clarify the fundamental concepts of Supreme Court decisions on the misuse of the patent right, and the extent to which such

misuse may be in violation of antitrust laws.

262. HUGIN, ADOLPH CHARLES. INTELLECTUAL PROPERTY PROTECTION AND THE ANTITRUST LAWS. Journal of the Patent Office Society, volume 30, June-September, December 1948, pages 450-473, 513-530, 601-617, 654–684, 867–872.

An extended discussion of the relationship between antitrust and patent Author concludes there is no inherent conflict between the two, if

properly understood.

263. KELLEHER, GRANT W. PRICE FIXING UNDER PATENT-LICENSE AGREEMENTS. Montana Law Review, volume 2, Spring 1942, pages

An article tracing the historical background of the court decision in United States v. General Electric Co., decided in 1926, and relating this decision to the TNEC hearings and reports bearing on price fixing under patent-license agreements.

264. KIRSH, BENJAMIN S. PATENT POOLS AND CROSS-LICENSING AGREE-MENTS. Journal of the Patent Office Society, volume 20, September 1938, pages 733-767.

An extended discussion of the relationship between patent and antitrust

legislation in practice.

265. LAMB, HORACE R. THE RELATION OF PATENT LAW TO THE FEDERAL ANTITRUST LAWS. Cornell Law Quarterly, volume 12, April 1927, pages 261-285.

A discussion of the relationship between the Sherman Antitrust Act and

patent law. Basic court decisions are considered.

266. LANGNER, LAWRENCE. WE DEPEND ON INVENTION; AN ANSWER TO THURMAN ARNOLD. Atlantic Monthly, volume 170, July 1942, pages 21-31. Reprinted in the Journal of the Patent Office Society, volume 24, August. 1942, pages 545-564.

A defense of the patent system by a patent lawyer and secretary of the National Inventors Council, Department of Commerce. (See also item.

No. 235.).

267. LEVINE, ROBERT. THE SHRUNKEN PATENT DOMAIN IN THE EXPANDED ANTITRUST UNIVERSE. Journal of the Patent Office Society, volume 34,

June 1952, pages 436-447.

A brief discussion of conflict between patent and antitrust law.

268. McAULEY, JOHN J. The Patent Monopoly and the Antitrust

Laws. Loyola Law Review, volume 5, 1950, page 116-132.

The author presents the case that the patent laws and the antitrust laws

do not conflict.

269. McCORMACK, ALFRED. RESTRICTIVE PATENT LICENSES AND RE-STRAINT OF TRADE. Columbia Law Review, volume 31, May 1931, pages 743-777.

A review of the efforts of the courts to define the rights secured by a patent, where the patentee by a conditional license imposes some economic restraint on his licensee. Author holds that clarification of basis court decisions is required.

270. MARCUS, PHILIP. PATENTS, ANTITRUST LAW, AND ANTITRUST JUDG-MENTS THROUGH HARTFORD-EMPIRE. Georgetown Law Journal, volume

34, November 1945, pages 1-63.

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An extensive evaluation of the Hartford-Empire case. Detailed footnotes provide references to court cases, books, and articles.

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271. MEYERS, ERNEST S., and SEYMOUR D. LEWIS. THE PATENT "Franchise" and the Antitrust Laws. Georgetown Law Journal, volume 30, December 1941 and January 1942, pages 117-148, 260-275.

An extensive review of numerous patent practices and their relationship to the antitrust laws. Favors qualified adoption of TNEC proposals on licensing of patents, limiting compulsory licensing to cases in which patents have been suppressed, monopolized, or abused in some fashion.

have been suppressed, monopolized, or abused in some fashion.

272. MONTAGUE, GILBERT H. THE SHERMAN ANTITRUST ACT AND THE PATENT LAW. Yale Law Journal, volume 21, April 1912, pages 443-469.

A significant early article presenting different views in the conflict of the

A significant early article presenting different views in the conflict of the patent system and the antitrust laws. Author concludes that the conflict between constitutional guaranties to the patentee and the prohibitions of the Sherman Act are irreconcilable, mutually inconsistent, and that the constitutional provision must have precedence.

273. MORISON, H. GRAHAM. THE PATENT GRANT AND FREE ENTERPRISE: THE ABUSES OF PATENT MONOPOLIES. American Bar Association Journal, volume 38, September 1952, pages 739-742, 797-799.

A statement of the patent philosophy of the Department of Justice in 1952

274. OPPENHEIM, S. CHESTERFIELD. PATENTS, THE MONOPOLY ISSUE AND THE WAR. Confidential—from Washington, August 1942; reprinted in Journal of the Patent Office Society, volume 24, October 1942, pages 667-677.

A brief discussion of the role of patents in technology and the relationship between patents and monopoly. Author recommends that the patent bar take the initiative in making needed revisions of technical patent laws and procedures; that legislation be passed to fill gaps in existing laws affecting patents and strategic materials of defense and war; and more vigorous action by the Department of Justice to eliminate abuses of patent privileges used to throttle competition in markets and prices in violation of antitrust

275. OPPENHEIM, S. CHESTERFIELD, and OTHERS. THE IMPACT OF THE ANTITRUST LAWS ON PATENTS AND TRADEMARKS IN FOREIGN COM-MERCE. George Washington Law Review, volume 21, June 1953, pages 663-709.

A roundtable discussion on (1) trademarks, (2) restrictive agreements and ancillary patent rights, and (3) a philosophy of competition; followed by a general discussion. Primarily a discussion of legal patent problems relating to foreign commerce and antitrust laws. "Per se violation docrine" versus the "rule of reason" was "perhaps the underlying theme." Participants included Walter J. Derenberg, Bartholomew A. Diggins, and Sigmund Timberg.

276. OPPENHEIM, S. CHESTERFIELD. PATENTS AND ANTITRUST: PEACE-FUL COEXISTENCE? Michigan Law Review, volume 54, December 1955, pages 199-218.

The author discusses the increasing interactions of patent and antitrust policies, and concludes from his evaluation and study that patents and antitrust can lead a peaceful coexistence.

277. PATENT ABUSES AND ANTITRUST: THE PER SE RULE. Harvard Law Review, volume 64, February 1951, pages 626-633.

This note concludes that "business combination and restraints based on patent uses unsanctioned by patent laws and appreciably injuring the public seem to be well within current antitrust provisions without the employment of a special doctrine that all patent abuses are illegal, per se, under the antitrust laws."

under the antitrust laws."

278. PATENT DEDICATION AS ANTITRUST REMEDY: NEW LIGHT ON HARTFORD-EMPIRE. Yale Law Journal, volume 63, March 1954, pages 717-728.

Concludes that the royalty-free licensing decree in the General Electric (incandescent lamp) case is supported by prior cases and is justified in the light of the economic factors involved.

light of the economic factors involved.

279. THE PATENT MONOPOLY AND PATENT POOLS: THE END OF ONE AND THE BEGINNING OF THE OTHER. Harvard Law Review, volume 45, November 1931, pages 150-156.

This article deals with the continued evolution of monopolies and the possible solutions which might be realized through stricter adherence to the antitrust laws. The author holds that future patent litigation will be concerned primarily with determining the rights of the patent pool rather than the rights of the patentee.

280. PATENT POOLING AND THE ANTITRUST LAWS. University of Chicago Law Review, volume 17, Winter 1950, pages 357-375.

Primarily a discussion of the 1948 Carboloy case (United States v. General Electric, Carboloy, et al.) and related court decisions. Concludes that, although by this decision the price-fixing powers of a patentee have been further restricted, the court has recognized that a patent represents only a limited grant of monopoly power in contrast to a horizontal merger or integration; consequently, in passing upon the legality of the latter, a patentee will be treated the same as a firm whose dominant position is not

due to patents.

281. PATENT POOLING AND THE SHERMAN ACT. Columbia Law

Review, volume 50, December 1950, pages 1113-1123.

Note concludes: "Restrictive licensing practices under patent pools do not appear to be justifiable, for the benefits of an interchange of patent

rights may be secured, and the evils of patent litigation avoided, without recourse to such restraints of trade."

282. PATENTS AND MONOPOLY. A RADIO DISCUSSION BY EDWARD LEVI, CASPER OOMS, AND BERNARD THIESS. University of Chicago Round Table, August 5, 1945, No. 385, 18 pages.

A popular discussion of the relationship between patents and monopoly, including some discussion of how abuses can be corrected. Includes a basis bibliography.

brief bibliography 283. THE PIT AND THE PATENTEE: TYING CLAUSES AS ANTITRUST

VIOLATIONS PER SE. Yale Law Journal, volume 57, June 1948, pages 1298-1302.

A consideration of the case, International Salt Co. v. United States. Is critical of the decision on the ground that it renders the patentee more

vulnerable to liability for antitrust violation than previously. 284. PODELL, DAVID L., and BENJAMIN S. KIRSH. PATENT POOLS AND THE ANTITRUST LAWS. American Bar Association Journal, volume 13, August 1927, pages 430-434, 476-478.

An analysis evaluating the confusion of terms, and the industrial and social values of patent interchange, the antitrust laws, and patent rights.

285. RICE, WILLIS B. DECAY OF OUR PATENT SYSTEM. Brooklyn Law Review, volume 5, May 1936, pages 357-388.

Holds that the patent system has been obstructing industrial development.

Holds that it is necessary to outlaw, "as an abuse of the patent system, any combination of patents which is utilized to control an industry beyond

the scope of the broadest patent."
286. RICH, GILES S. THE RELATION BETWEEN PATENT PRACTICES AND THE Antimonopoly Laws. Journal of the Patent Office Society, volume 24, February-June 1942, pages 85-106, 159-181, 241-283, 328-356, 422-437. A detailed study of the relationship of patent practices to antimonopoly

287. SCHATRER, OTTO S. THE PATENT PROBLEM FROM THE VIEWPOINT OF INDUSTRY. Journal of the Patent Office Society, volume 21, April 1939, pages 243-258.

A paper by a vice president, Radio Corporation of American, read at a roundtable conference of the National Industrial Conference Board, on January 19, 1939. Deals largely with patent pooling and licensing prob-

lems of corporations.

288. SCHUELLER, GEORGE H. THE NEW ANTITRUST ILLEGALITY PER SE: FORESTALLING AND PATENT MISUSE. Columbia Law Review, volume 50, February 1950, pages 170-200. Includes a discussion (pp. 184-195) on misuse of patents contrary to the antitrust laws. Author concludes that the rationale of judicial hold-

ings on patent misuse can be traced to the common-law prohibition of forestalling.

289. SPENCER, RICHARD. THINKING AHEAD: THREAT TO OUR PATENT System Harvard Business Review, volume 40, May-June 1956, pages 21-22, 24, 26, 28, 30, 32, 35, 166, 168.

An attack on present patent policy of the Government and courts, which, the author feels, is resulting in a slowing down in the rate of techno-

logical progress. He contends that our patent system is being emasculated, principally by means of antitrust laws.

290. STEDMAN, JOHN C. PATENT AND TRADEMARK RELIEF IN ANTITRUST JUDGMENTS. Federal Bar Journal, volume 10, July 1949, pages 260-274.

A review of antitrust decisions with provisions concerning patents.

-. PATENTS AND THE ANTITRUST LAWS. Journal of the Patent

Office Society, volume 21, January 1949, pages 14-32,
A paper presented before the New Jersey Patent Law Association, October 21, 1948, analyzing the relationship between the patent laws and

antitrust laws, with particular reference to recent court cases. 292. STEFFEN, ROSCOE. INVALID PATENTS AND PRICE CONTROL. Yale Law Journal, volume 56, November 1946, pages 1-25.

The author concludes that the invalid patent has no warrant of any sort

for its existence and, thus, the Government should retain the power to rid the economy of "a price-fixing monopoly based upon such a subterfuge."

293. STOCKING, GEORGE W., and MYRON W. WATKINS. MONOPOLY AND FREE ENTERPRISE. New York, Twentieth Century Fund, 1951, 596 pages

Chapter 14: Patents and Monopoly, pages 447–490, provides a well-rounded discussion of the subject, including suggestions for revision of patent-law and procedures. This chapter, in almost identical form, was published under the title, "Patent Monopolies and Free Enterprise" in Vanderbilt Law Review, volume 3, June 1950, pages 729–765.

294. THOMAS, THOMAS A. THE PATENTEE'S DILEMMA—Is PRICE FIXING LEGAL? Miami Law Quarterly, volume 4, April 1950, pages 313–331.

A historical survey of judicial interpretation of patent and antitrust legislation and their area of conflict. Holds that legislation is needed "to

legislation and their area of conflict. Holds that legislation is needed "to define the patent antitrust relationship with a reasonable degree of definitiveness.

295. TOULMIN, H. A., Jr. PATENT POOLS AND CROSS-LICENSES. Virginia Law Review, volume 22, December 1935, pages 119-152.

Author holds that the greatest advantage that can be secured from a patent under our present economic system is the use of it in connection with licenses and cross-licenses.

**2**96. -. A TREATISE ON THE ANTITRUST LAWS OF THE UNITED STATES AND INCLUDING ALL RELATED TRADE REGULATORY LAWS. Volume 4, Application to patents; patent pools; trademarks; copyrights. Cincinnati, W. H. Anderson Co., 1950; 881 pages. [See also 1956 supplement, 47 pages.]

A detailed legal treatise on the relation of patents to antitrust legislation. Includes information on the evolution of patent law, the nature of the patent right, patents and the Congress, patent pools and misuse of patents. The author states that the volume "traces the increasing trend to not only restrict manufacturers operating under patents from using them to violate the antitrust laws, but for a much more significant restriction. \* \* \* Practically all of the essential rights of patents, for more than a century, have

been wiped away in recent years."

297. U. S. CONGRESS. HOUSE. COMMITTEE ON PATENTS. Pooling of Patents. Hearings on H. R. 4523, February 11-December 12, 1935. Washington, U. S. Government Printing Office, 1936, 3,887 pages in 4

Extensive hearings under the chairmanship of Congressman William I. Sirovich on a bill providing for the recording of patent pooling agreements and contracts with the Commissioner of Patents. The last 2 of the 4 volumes include only supplementary material submitted by witnesses and

298. U. S. CONGRESS. SENATE. COMMITTEE ON PATENTS. For-FEITURE OF PATENT RIGHTS ON CONVICTION UNDER LAWS PROHIBITING MONOPOLY. Hearings on S. 2783, January 8-March 7, 1928. Washington, U. S. Government Printing Office, 1928, 197 pages in 3 parts.

Testimony relating to a bill proposing forfeiture of patents in case of conviction for violating the antitrust laws. Includes much testimony on

the radio industry and patents in that industry.

Suits for Infringement of Patents Where the Patentee Is Violating the Antitrust Laws. Hearings on S. 4442, May 14-28, 1930. Washington, U. S. Government Printing Office, 1930, 107 pages.

A sequel to the hearings on a similar bill before the same committee in 1928.

300. U. S. LIBRARY OF CONGRESS. LEGISLATIVE REFERENCE SERVICE RECORDATION OF PATENT AGREEMENTS—A LEGISLATIVE HISTORY. By Michael Daniels, Victor Edwards, Julius Allen. Study No. 9 of the Subcommittee on Patents, Trademarks, and Copyrights of the Senate Committee on the Judiciary. Washington, U. S. Government Printing Office, 1957, 27 pages. (85th Cong., 2d sess., Senate Committee print.)

A summary of proposals in Congress to require recordation of patent licenses and agreements, and international cartel agreements generally, including ITO proposals. Describes the bills introduced and includes

significant testimony. Contains a brief bibliography.
301. VAUGHAN, FLOYD L. THE RELATION OF PATENTS TO INDUSTRIAL Monopolies. Annals of the American Academy of Political and Social Science, volume 147, January 1930, pages 40–50. Reprinted in the Journal of the Patent Office Society, volume 14, January-February 1932, pages 61-66, 95-103.

An article stressing the evils resulting from illegal use of patent pools; based largely on the author's "Economics of Our Patent System" [item

No. 85].

302. VOORHIS, JERRY. THE PATENT GRANT: REMEDIES TO PREVENT'ITS Monopolistic Abuse. Vital Speeches of the Day, volume 11, March 1, 1945, pages 315-317.

A speech, originally delivered before the House of Representatives, January 15, 1945, in favor of a bill intended to prevent the use of patents

in restraint of trade and commerce.

303. WOOD, LAURENCE I. AGREEMENTS CONCERNING PATENT LICENSE RESTRICTIONS. Illinois Law Review, volume 37, January-February 1943, pages 350-358.

The author discusses the question: May a patentee lawfully agree with one licensee as to the price or production restrictions which the former

will impose upon its other licensees?

304. PATENT COMBINATIONS AND THE ANTITRUST LAWS.

Washington Law Review, volume 17, December 1948, pages 59-96.

The author attempts "to explore and delineate the tortuous line of legality which has evolved from the long running battle between the advocates of a strong patent policy \* \* \* and the advocates of a strong antitrust policy." He believes solution of the problem should "remain a function of the judicial process; of determining in each fact situation where the public good is served by a given practice."

PATENT REFORM AND 1943: ANTITRUST OR ANTIPATENT LAW.

George Washington Law Review volume 11, 1000 1943, pages 473-480.

305. George Washington Law Review, volume 11, June 1943, pages 473-480.

Urges that the revelation of patent abuses should not cause legislators to forget that patents perform a valuable function in the American economy. — . PATENTS AND ANTITRUST LAW. Chicago, Commerce Clearing House, Inc., 1942, 218 pages. 306.

A well-documented treatise on the history, current development, and future outlook of the relationship between patents and antitrust legislation.

Includes a 6-page bibliography.

307. WOOD, LAURENCE I., and VINCENT A. JOHNSON. PATENTS AND THE ANTITRUST LAWS. University of Illinois Law Forum, volume 1950, Winter 1950, pages 544-574.

A discussion of the relationship between patents and antitrust laws. The authors hold that public policy should attempt to ascribe "to the private rights the maximum measure consistent with their contribution to the public public policy."

to the public welfare."

308. ZLINKOFF, SERGEI S. Monopoly Versus Competition: Significant TRENDS IN PATENT, ANTITRUST, TRADEMARK, AND UNFAIR COMPETITION SUITS. Yale Law Journal, volume 53, June 1944, pages 514-522. Includes a discussion of major patent cases from 1935 to 1944.

#### B. COMPULSORY LICENSING OF PATENTS 7

309. AMERICAN PATENT LAW ASSOCIATION. How the Stanley Bill (S. 3410) FOR COMPULSORY LICENSE OF PATENTS IMPERILS INVENTORS, MANUFACTURERS AND THE AMERICAN PATENT SYSTEM; TESTIMONY OF INVENTORS, MANUFACTURERS, PUBLICISTS AND SCIENTIFIC SOCIETIES WHO SUCCESSFULLY OPPOSED A SIMILAR COMPULSORY LICENSE MEASURE BEFORE THE HOUSE PATENT COMMITTEE IN 1912. Washington, American Patent Law Association, 1922, 99 pages.

Consists primarily of excerpts of testimony against compulsory licensing given in the 1912 hearings on the Oldfield bill, H. R. 23417 (62d Cong.),

[item No. 331 below].

310 REPORT OPPOSING THE McFARLANE BILL (H. R. 9259) PROVIDING FOR COMPULSORY LICENSING OF LETTERS PATENT, TOGETHER WITH EXCERPTS FROM THE RECORD AGAINST PREVIOUS LEGISLATIVE PROPOSALS. Washington, American Patent Law Association, 1938, 141 pages, A strongly worded report against compulsory licensing in general and the McFarlane bill in particular.

311. APSEY, LAWRENCE S. COMPULSORY LICENSING OF PATENTS AS REMEDY IN ANTITRUST SUITS. New York Law Journal, volume 115, April 25-26, 1946, pages 1608, 1626.

The author is "concerned solely with the application of the principle of compulsory licensing as an aid to the dissolution of restraints and monopolies

which a court has found to exist in violation of the Federal antitrust laws." ARNETT, OTTO R. The Oldfield Bill. Yale Law Journal, volume 312. BARNETT, OTTO R. 22, March 1913, pages 383-397.

An examination of the Oldfield bill, which proposed radical changes in the patent system. The author of this article expresses opposition to these changes.

313... REVISION OF OUR PATENT SYSTEM BY THE OLDFIELD BILL. Illinois Law Review, volume 8, February 1914, pages 427-441.

This article gives particular attention to the much criticized A. B. Dickcase and how the decision would be affected by the Oldfield bill (1913,

62d Congress).
314. BORKIN, JOSEPH. PATENT ABUSES, COMPULSION TO LICENSE AND RE-CENT DECISIONS. Columbia Law Review, volume 43, July 1943, pages

The development of the movement toward compulsory licensing as a

means of eliminating patent abuses is traced up to 1942.

315. CANTOR, BERNARD J. Evolution Toward Compulsory Licensing? Journal of the Patent Office Society, volume 35, May 1953, pages 372-376.

A brief examination of the trend toward compulsory licensing in the United States as exemplified in judicial decisions, government contracts, and the Atomic Energy Act of 1946.

316. CASTEL, J. G. RECENT TRENDS IN COMPULSORY LICENSING IN CASE OF NONUSE OF PATENTS: A COMPARATIVE ANALYSIS. Journal of the Patent

Office Society, volume 36, May 1954, pages 330-340.

A comparison of American, French, and British approaches to compulsory licensing. Provisions of the French decree of September 30, 1953,

are viewed favorably.

317. COBURN, HAYWARD H. COMPULSORY LICENSING BY THE COURTS.

Journal of the Patent Office Society, volume 28, March 1946, pages 180-192. Author, in an address before the Philadelphia Patent Law Association, holds that the principle of compulsory licensing by the courts, as announced in the *Hartford-Empire* case, should be supported by the patent bar.

318. COMPULSORY PATENT LICENSING BY ANTITRUST DECREE.

Yale Law Journal, volume 56, November 1946, pages 77–126.

Primarily a consideration of the Hartford-Empire case; maintains that the decree in this case gives the Department of Justice a powerful weapon to be used in future antitrust suits, both in cases involving patents and those that do not.

<sup>7</sup> See also: Part V, Patent Aspects of Atomic Energy Legislation.

319. FOLK, GEORGE E., and GEORGE H. HOUSTON. LIMITATION OF THE RIGHT TO LICENSE PATENTS, A DISCUSSION OF THE DEPARTMENT OF JUS-TICE SUGGESTIONS RECOMMENDED BY THE TEMPORARY NATIONAL ECONOMIC COMMITTEE. New York, National Association of Manufacturers, 1939. 38 pages.

Opposes the adoption of the Department of Justice and TNEC recommendations for restricting the terms on which patentholders could license

320. FROST, GEORGE E. LEGAL INCIDENTS OF NONUSE OF PATENTED IN-VENTIONS RECONSIDERED. George Washington Law Review, volume 14,

February and April 1946, pages 273-311, 435-459.

A well-documented treatise of the legal incidents of suppression of patented inventions, in the sense of nonuse, resulting from the method used n exploiting the patent. Includes a brief analysis of the British compulsory licensing statute.

321. HERZ, ARMIN. COMPULSORY LICENSING. Journa Society, volume 28, December 1946, pages 889-902. Journal of the Patent Office

Author reviews the background of resistance to compulsory licensing of patents and also the experience in foreign countries under compulsory licensing laws. He concludes that Congress should enact a compulsory licensing statute, limited to granting such licenses only when necessary to insure that the constitutional purpose of promoting "the progress of science

and useful arts," will be served.

322. HOAR, ROGER SHERMAN. PATENTS AND NATIONAL DEFENSE—Ex-CLUSIVE LICENSING IS AN INCENTIVE TO PRODUCTION. Army Ordnance.

September-October 1946, pages 161-162.

A defense of the American patent system, pointing out how it was a major factor in winning the Second World War and how the granting of exclusive rights benefits the free enterprise system.

323. HOLT, EDWARD B. ECONOMIC STANDARDS APPLICABLE TO LIMITATIONS UPON COMPULSORY LICENSING BY JUDICIAL DECREE. George

Washington Law Review, volume 19, March 1951, pages 400-422. Economic standards are set forth as a guide for judicial compulsory licensing. Analysis concludes that the patent system is an integral part of the competitive economy and its evaluation must include economic as well as legal issues.

324 MOORE, CARLISLE M. A STUDY OF COMPULSORY LICENSING AND DEDICATION OF PATENTS AS RELIEF MEASURES IN ANTITRUST CASES. George Washington Law Review, volume 24, December 1955, pages

223-<u>2</u>38.

Author concludes that "compulsory licensing with a provision for reasonable royalties is a remedy often used in antitrust cases which has clearly received the sanction of the Supreme Court," and that "the Supreme Court has not yet clearly ruled on whether compulsory royalty free licensing is a proper remedy or not in an antitrust case." Considers the views of the Attorney General's National Committee To Study the Anti-

trust Laws.

325. PATENTS—COMPULSORY LICENSING—DEDICATION TO THE PUBLIC. Temple Law Quarterly, volume 27, Spring 1954, pages 504-512.

Review of the patent decisions leading up to the General Electric (incandescent lamp) case, in which the author criticizes the decision as depriving the patentee of fundamental rights for the sake of momentary relief.

326. POWELL, THOMAS REED. THE EXCLUSIVE RIGHT OF THE PATENTEE—SHOULD THE RIGHT OF POWER TO EXCLUDE OTHERS BE DEPENDENT

SHOULD THE RIGHT OR POWER TO EXCLUDE OTHERS BE DEPENDENT ON SALE OR LICENSING BY THE PATENTER? Harvard Law Review, volume 58, May 1945, pages 726-738.

A brief survey of the various pro and con arguments regarding the

rights of the patentee, with special reference to compulsory licensing. REIK, RICHARD. COMPULSORY LICENSING OF PATENTS. Ame American Economic Review, volume 36, December 1946, pages 811-832.

A comparative study of compulsory licensing legislation of various

countries, arguments pro and con, and a brief discussion of patent pooling an Henganting saving extensity for financial court is about 1997 and

and cross-licensing.

328. SCHECHTER, FRANK I. WOULD COMPULSORY LICENSING OF PATENTS
BE UNCONSTITUTIONAL? Virginia Law Review, volume 22, January 1936,

pages 287-314.

A survey of the background of the patent provisions of the U.S. Constitution and its subsequent interpretations. Author maintains that the constitutional provision was not intended to protect "nonuse" of patents, and holds that compulsory licensing would be constitutional in cases of unjustifiable and indefensible nonuse.

329. SEEGERT, NEAL. COMPULSORY LICENSING BY JUDICIAL ACTION: A

REMEDY FOR MISUSE OF PATENTS. Michigan Law Review, volume 27, March 1949, pages 613-638.

A survey of patent abuses and misuses, and of compulsory licensing as a remedy applied by the courts. Extensive footnote references to articles and court cases.

330. U. S. CONGRESS. HOUSE. COMMITTEE ON PATENTS. PULSORY LICENSING OF PATENTS. Hearings, March 21-31, 1938. ington, U. S. Government Printing Office, 1938, 565 pages. Wash-

Presents testimony for and against bills designed to set forth conditions

under which compulsory licensing of patents shall be effected. . OLDFIELD REVISION AND CODIFICATION OF THE PATENT STATUTES. Hearings Nos. 1-27, April 17-May 25, 1912. Washington, U. S. Govern-

ment Printing Office, 1912. (Each part paged separately.)
Up to that time, the most comprehensive congressional review of the patent system and patent policies ever undertaken. Much emphasis on proposals for compulsory licensing and arguments pro and con on the

subject.

332 Hearing, May 27-September 4, 1914. Washington, U. S. Government

Printing Office, 1914, 174 pages.

A continuation of the 1912 Oldfield hearings; much testimony relates to the patents and patent practices of the United Shoe Machinery Co.

333. U. S. CONGRESS. SENATE. COMMITTEE ON PATENTS. ReVISION OF STATUTES RELATING TO PATENTS. Hearings on S. 3325 and
S. 3410, April 6-May 4, 1922. Washington, U. S. Government Printing
Office 1922, 302, pages. Office, 1922, 302 pages.

Hearings on bills providing for compulsory licensing of patents in case of nonuse. Contains much information on foreign holders of American

patents.
334. WYSS, WALTHER E, and RICHARD R. BRAINARD. COMPULSORY LICENSING OF PATENTS. George Washington Law Review, volume 6. 1938, pages 499-520.

A comparative study of compulsory licensing provisions in the law of Germany, England, and Canada; and proposals for such a law in the

United States, with arguments for and against it.

#### C. PATENT ASPECTS OF THE REPORT OF THE ATTORNEY GENERAL'S NATIONAL COMMITTEE TO STUDY THE ANTITRUST LAWS

335. HANDLER, MILTON. AN EXAMINATION OF THE CHAPTER ON PATENT ANTITRUST PROBLEMS IN ATTORNEY GENERAL'S COMMITTEE REPORT. Antitrust Bulletin, volume 1, June 1955, pages 157-164.

One of two addresses on patent aspects of the report of the Attorney General's National Committee To Study the Antitrust Laws, presented to the New York Patent Law Association, May 26, 1955. In general, a defense of the committee's report. By a member of the committee. (See also item No. 339.)

PATENT ANTITRUST PROBLEMS AND THE ATTORNEY 336. MALLEY, JOHN W. GENERAL'S REPORT. George Washington Law Review, volume 24, October 1955, pages 20-33.

Comments on the chapter on patents in the report of the Attorney General's National Committee To Study the Antitrust Laws, with particular reference to Patent Office issuance procedures, commercial use of patents, and infringement suits.

<sup>8</sup> See also: Part VII-B, Patents and antitrust, including patent pooling problems—compulsory licensing of patents.

337. U. S. ATTORNEY GENERAL'S NATIONAL COMMITTEE TO STUDY THE ANTITRUST, LAWS REPORT, MARCH. 31, 1955. Washington, U. S. Government Printing Office, 1955, 393 pages.

Chapter 5: Patent-Antitrust Problems, pages 223-260, deals with the following patent-antitrust problems: acquisition by grant, purchase, and grant-back; nonuse of patented inventions; patent licenses; and trademark antitrust problems. Extensive case citations. This chapter is reprinted in the Journal of the Patent Office Society, volume 37, May 1955, pages 331-380.

338. U. S. CONGRESS. HOUSE. COMMITTEE ON THE JUDICIARY. CURRENT ANTITRUST PROBLEMS. Hearings, May 10-June 17, 1955. Washington, U. S. Government Printing Office, 1955, 2,712 pages in 3

Deals largely with the Attorney General's National Committee To Study the Antitrust Laws. References to patents indicated by index in

part 3 thereof.

339. WOOD, LAURENCE I. THE PROBLEM OF PATENT INTERCHANGE AND THE REPORT OF THE ATTORNEY GENERAL'S NATIONAL COMMITTEE. Antitrust

Bulletin, volume 1, June 1955, pages 165–170.

One of two addresses on patent aspects of the report of the Attorney General's National Committee To Study the Antitrust Laws, presented to the New York Patent Law Association, May 26, 1955. A description of provisions of the report by a member of the committee. (See also item No. 335.)

## VIII. PATENT ACT OF 1952 9

340. BECKETT, WILLIAM W. JUDICIAL CONSTRUCTION OF THE PATENT ACT OF 1952—Codification versus Substantive Change. Journal of the

Patent Office Society, volume 37, July 1955, pages 467-485.

Author concludes that while in general the act is considered to be primarily a codification of existing law, some sections do embody sub-

stantive changes

341. CONTRIBUTORY INFRINGEMENT AND MISUSE—THE EFFECT OF SECTION 271 OF THE PATENT ACT OF 1952. Harvard Law Review, volume 66, February 1953, pages 909-918.

A brief survey of the effect of section 271 on various restrictive licensing practices. The author concludes that the language of the section is sufficiently ambiguous that the Supreme Court interpretations will probably reflect its own attitude toward the patent system. In his view, this attitude in recent years has been to stress antitrust considerations at the expense of the patent system.

342. FEDÊRICO, P. J. COMMENTARY ON THE NEW PATENT ACT. USCA.

volume 35, 1954, pages 1-70.

Includes (1) a review of prior patent acts; (2) a history and general description of the new act; and comments on (3) the Patent Office, (4) the patentability of inventions and grant of patents, (5) the protection of patent rights, and (6) the application of the new law to prior patents.

Transitional Problems of the New Patent Act. Journal of the Patent Office Society, volume 35, May 1953, pages 325-342.

An evaluation of applicability of the Patent Act of 1952 to old and new

343.

patent applications, reissue applications, and unexpired patents granted

prior to 1953.

344. GALSTON, CLARENCE G. INVENTION AND THE "OBVIOUS." Federal

Rules Decisions, volume 13, 1953, pages 463-470.

A review of patent cases and the Patent Act of 1952 as they relate to the question of patentability. The author feels that "tests of invention should be objective, and the presumption of validity should be not mythical, but real." He calls for further legislation embodying such tests.

345. HAMANN, H. F. The New Patent Act and the Presumption of Validity. George Washington Law Patient values 21 April 1052

VALIDITY. George Washington Law Review, volume 21, April 1953,

pages 575-585.
"If the courts are to carry out the congressional intent of the new Patent Act \* \* \* their previous attitudes toward the presumption of validity and the presumption of administrative correctness must be reappraised."

See also: Part III, Concept of Inventions and Their Patentability.

346. HARRIS, L. JAMES. Some ASPECTS OF THE UNDERLYING LEGISLATIVE INTENT OF THE PATENT ACT OF 1952. George Washington Law Review. volume 23. June 1955, pages 658-699.

An examination of several significant provisions of the 1952 Patent Act "to determine the underlying legislative intent and whether its interpreters

have caught the spirit in which it was drafted."

347. KRASS, ALLEN M. Test of Patentability Under the 1952 Patent Acr. Wayne Law Review, volume 2, Spring 1956, pages 130-137.

An evaluation of the 1955 decision by Judge Learned Hand in Lyon v.

Bausch & Lomb, in which Judge Hand advances a position favoring liberal interpretation of the invention clause of the Patent Act of 1952.

348. LIBERT, DONALD J. Section 103 of the Patent Act and the Standard of Invention: Comments on "Lyon v. Bausch and Lomb Offical Co." Georgetown Law Journal, volume 44, November 1955, pages 100-119. Reprinted in the Journal of the Patent Office Society, volume

38, May 1956, pages 304-328.

Reviews the decision in Lyon v. Bausch and Lomb Optical Co., in the light of traditional "invention" tests, the supposedly higher standards set by the Supreme Court since 1925, the language and legislative history of section 103 of the 1952 act, and other court decisions since 1952. author concludes that Congress intended the new provision as the sole test, independent of prior holdings, and that the Lyon case accurately interprets the section.

349. LUTZ, KARL B. THE THE PATENT SYSTEM. THE NEW PATENT STATUTE: CONGRESS REVITALIZES American Bar Association Journal, volume 39,

March 1953, pages 209-212.

An article in praise of the Patent Act of 1952, which revised and codified the Nation's patent laws. This article is the basis for the following article: Karl B. Lutz, "The New 1952 Patent Statute." Journal of the Patent

Office Society, volume 35, March 1953, pages 155-162.
350. MARANS, HILLEL. Some Aspects of the Patent Act of 1952 as In-TERRETED BY PUBLISHED DECISIONS. Journal of the Patent Office Society, volume 36, July 1954, pages 482-507. Some Aspects of the Patent Act of 1952 as Interpreted by Recently Published Decisions. Journal of the Patent Office Society, volume 37, September 1955, pages 660-673. [Same Title.] Journal of the Patent Office Society, volume 39, March 1957, pages 177-191.

These 3 articles, the latter 2 bringing the former up to date, cite extensively from court decisions interpreting provisions of the Patent Act of

1952

351. MARTIN, J. V. THE PATENT CODIFICATION ACT. Journal of the Patent Office Society, volume 36, September 1954, pages 625-642.

Description and legislative history of the Patent Act of 1952.

352. PATENT ACT OF 1952. Journal of the Patent Office Society, volume 34,

August 1952, pages 545-683

The complete text of the Patent Act of 1952, with the report of the House Committee on the Judiciary on H. R. 7794, legislative history of the bill, and related additional material.

INFRINGEMENT UNDER SECTION 271 OF THE PATENT 353. RICH, GILES S. Act of 1952. George Washington Law Review, volume 21, April 1953,

pages 521-546.

This article points out that, even though the patent is a necessary and valuable factor in our economic system, it is not to be used as an instrument of monopoly and to the detriment of our citizens. Section 271 introduces

a new element of control in our patent legislation.

354. RIESENFIELD, STEFAN A. The New United States Patent Act IN THE LIGHT OF COMPARATIVE LAW. University of Pennsylvania Law Review, volume 102, January and April 1954, pages 291-322, 723-757. Reprinted in the Journal of the Patent Office Society, volume 36, June

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Reassessment of the principal accomplishments of the Patent Act of 1952, and an attempt to take "stock of unfulfilled expectations and first signs of defects." The author regrets that the Act did not embody "even \* \* \* The author regrets that the Act did not embody "even the very moderate proposals for compulsory licenses in the fields of public health and public safety advanced by the National Patent Planning Commission." 355. SCHRAMM, FREDERIC B. THE RELATIONSHIP OF THE PATENT ACT OF 1952 TO THE ANTITRUST LAWS. George Washington Law Review.

volume 23, October 1954, pages 36-67.

The author concludes that the Patent Act of 1952 has tended to clarify the question of what inventions are patentable and valid, and to recognize the nature of the action for infringement. The act has also set forth within a narrow compass an area within which the patentee may be free from the charge of misuse or illegal extension of the patent right. No basic conflict is seen between the patent system and the antitrust laws, since a patent covers something which did not previously exist; therefore, enforcement of the patent, provided it is kept within its legal limits, does not adversely affect competition.

356. U. S. CONGRESS. HOUSE. COMMITTEE ON THE JUDICIARY. PROPOSED REVISION AND AMENDMENT OF THE PATENT LAWS; PRELIMINARY DRAFT WITH NOTES. Washington, U. S. Government Printing

Office, 1950, 98 pages. (Committee print.)

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360. WOODCOCK, VIRGIL E. Highlights of Title 35—The New 1953
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In a discussion of the merits of the 1953 patent law, the author states

that this act should clarify the confused state of the patent law.

## IX. PATENT POLICIES IN FOREIGN COUNTRIES AND IN INTERNATIONAL AGREEMENTS 10

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362. BLAKE, JOHN L. THE BRITISH PATENT SYSTEM. Journal of the Patent Office Society, volume 20, April 1938, pages 337-350.

A brief survey of the British patent system, comparing it with the

American patent system.

363. BUSSE, RUDOLF. PROCEDURE AND PRACTICE IN THE GERMAN PATENT Journal of the Patent Office Society, volume 38, October 1956, pages 683-704.

A detailed presentation of current patent practice in Germany, based on the laws of July 18, 1953, by the Senatspräsident of the German Patent

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365. CASTEL, J. G. RECENT TRENDS IN COMPULSORY LICENSING IN CASE OF NONUSE OF PATENTS: A COMPARATIVE ANALYSIS. Journal of the Patent

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TAXATION AND SURVIVAL OF PATENTS. 367.

Journal of the Patent Office Society, volume 19, September 1937, pages 671-691. A paper presenting statistics on the operation of the system of annual fees or taxes on patents in England and Germany, with consideration of their possible application to the United States.

368. FOX, HAROLD G. ABUSE OF MONOPOLY. Canadian Bar Review, volume 23, May 1945, pages 353-379.

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the convention and economic principles of its reform. Includes an extensive bibliography of books, articles, and official documents.

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#### X. PATENTS AND INTERNATIONAL CARTELS 11

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392. CANADA. COMBINES INVESTIGATION COMMISSION, DEPART-MENT OF JUSTICE. CANADA AND INTERNATIONAL CARTELS; AN IN-QUIRY INTO THE NATURE AND EFFECTS OF INTERNATIONAL CARTELS AND OTHER TRADE COMBINATIONS. Report of Commissioner, Combines Investigation Act, Ottawa, October 10, 1945. Ottawa, Edmond Cloutier, 1945, 72 pages.

A report of a commission under the chairmanship of F. A. McGregor, often referred to as the "McGregor Report," which deals in considerable part with international patent arrangements. Chapter 3; The Relation of Patents to Cartels and Combines, pages 45-53, concludes: "The prevention of abuses arising out of the restrictive features of international patent agreement must be achieved through measures of intergovernmental collaboration in regard to international cartels generally, as such use of patent rights is merely one of the restrictive controls resulting from cartelization.

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Among the various countries.

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A popular account of I. G. Farben, based largely on post-World War II trial records. Includes extensive references to patent arrangements of I. G. Farben.

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A report on the position of cartels in international commerce, with particular reference to patents. Includes recommendations.

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## XI. PATENT OFFICE PROCEDURES AND ADMINISTRATIVE ASPECTS OF PATENTS

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them, and summarizes significant testimony.

415. U. S. PRESIDENT'S COMMISSION ON ECONOMY AND EFFICIENCY. REPORT OF THE INVESTIGATION OF THE UNITED STATES PATENT OFFICE. Washington, U. S. Government Printing Office, 1912, 624 pages (62d Cong., 3d sess., H. Doc. 1110).

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## XII. TAX ASPECTS OF PATENTS

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An analysis of provisions of the Internal Revenue Code of 1954 with respect to sale or exchange of patent rights, research and experimental expenditures, and spreading ordinary income from patents.

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his patent after taxation."
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A technical account of circumstances in which patent royalties may and may not be considered capital gains for tax purposes, together with

court interpretations.

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#### XIII. MISCELLANEOUS

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patent itself becomes an instrument of monopoly aggression." Recom-

mendations on litigation procedure are made.
429. COSTA, JASPER SILVA. THE LAW OF INVENTING IN EMPLOYMENT.
New York, Central Book Co., 1953, 336 pages. Treatise on the rights of employed inventors, vis a vis their employers and others, in inventions made in the course of employment. Examines the developments at common law, under statutes and pursuant to employ-

ment contracts. Also considers special rules applicable to the employees of Government agencies and nonprofit institutions. Includes extensive

bibliography, pages 297-318.
430. FEDERICO, B. M. BIBLIOGRAPHY OF ARTICLES ON AMERICAN PATENT, TRADEMARK AND COPYRIGHT LAW, 1929-38. Journal of the Patent Office Society, volume 21, June 1939, pages 463-484.

Patent bibliography is at pages 463-473.

431. FEDERICO, P. J. DISTRIBUTION OF PATENTS ISSUED TO CORPORATIONS (1939-55). Study No. 3 of the Subcommittee on Patents, Trademarks, and Copyrights of the Committee on the Judiciary, U. S. Senate. Washington, U. S. Government Printing Office, 1957, 34 pages (85th Cong., 1st sess., S. Doc. No. 23). Reprinted in the Journal of the Patent Office Society, volume 39, June 1957, pages 405-453.

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than in individual firms.

434. HOAR, ROGER SHERMAN. SUPPRESSION OF PATENTS—REAL OR IMAGINARY. Army Ordnance, March-April 1947, pages 412-413.

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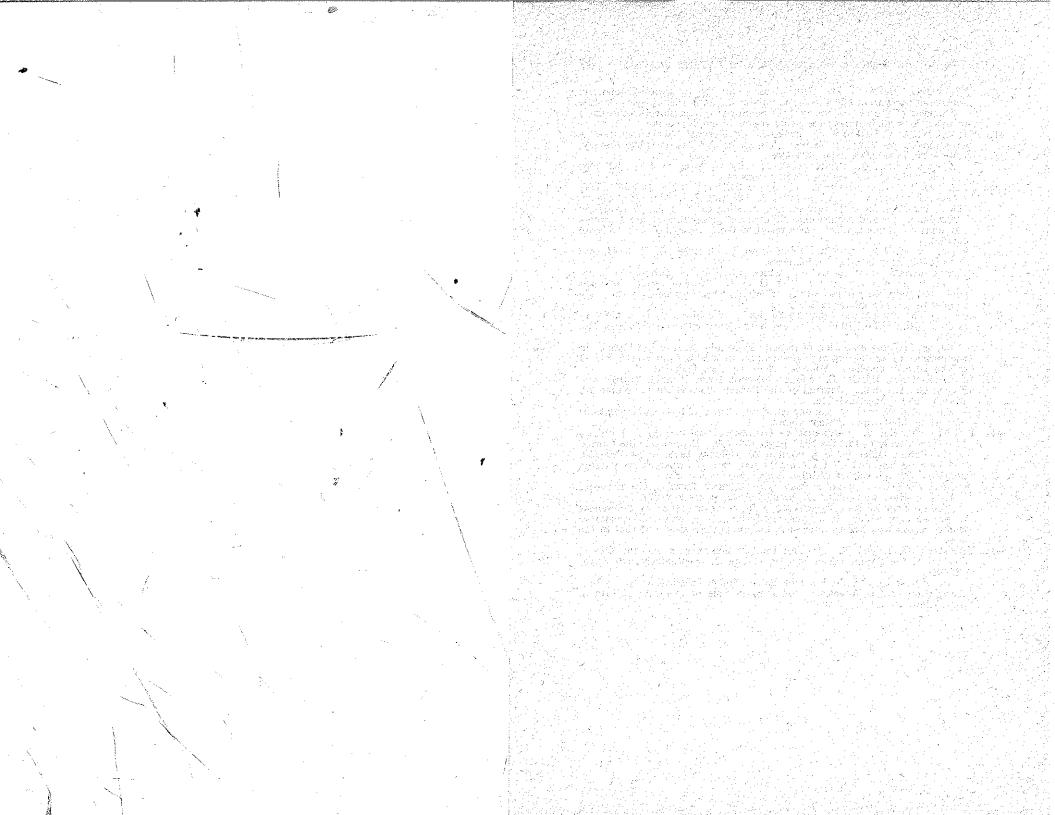
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