

Norman Latker

From: bounce-12090-2976@listserver.techno-l.org on behalf of Wilkinson, Judith A [jwilkin@iupui.edu]
Sent: Monday, November 20, 2006 4:48 PM
To: techno-l@techno-l.org
Subject: RE: [techno-l] College Partnership with Private Laboratory

Dear Techno-L rep(s):

Please review John Robert's comments.

All last year I was involved with a conflict of interest audit that was a reflection of the real life issues that John raises. An added wrinkle is that the state of Indiana has a conflict of interest policy for state employees that has criminal sanctions.

As our institutions actively seek out-of-the-box economic development enterprises, they are slow to put into place the requisite fire-walls in order to allow these entities to function and the shared researchers to function in a manner that does not place them in direct conflict.

We need to help our researchers **manage** potential conflicts by creating SOP's and providing education to institutional research administrators, school and department fiscal officers and researchers.

To ignore the educational aspect is painting a big target on ourselves, our institutions and our researchers.

Jude Wilkinson, JD
Office of Research
Fiscal Officer & Industrial Liaison
Indiana University
School of Dentistry
415 Lansing Street
Indianapolis, IN 46202
Ph:317.278.3290
Fx:317.274.5425
jwilkin@iupui.edu

From: bounce-12085-2794@listserver.techno-l.org [mailto:bounce-12085-2794@listserver.techno-l.org] **On**
Behalf Of John S. Roberts
Sent: Monday, November 20, 2006 3:54 PM
To: techno-l@techno-l.org
Subject: Re: [techno-l] College Partnership with Private Laboratory

Ken-

I take it that the private laboratory is an independent entity of some kind. If so, the key word is "moving." What, exactly, will "move"? If the college is simply renting space, facilities and equipment from the off-campus laboratory, nothing changes (but read the lease carefully). College employees with an obligation to assign their inventions to the college have added burdens if they are making those inventions in the "social environment" of the private laboratory -- the college investigators will need to be careful about making inadvertent "public" disclosures. If the college's research projects are moving to the private laboratory as part of a research collaboration between college researchers and private laboratory researchers, the two entities need to fashion a "joint research agreement" which, among other things, has a patent plan. Otherwise, the parties may find their patent applications permanently stuck in the patent office.

11/27/06