United States Court of Appeals
for the Eleventh Circuit



56 Forsyth Street, N.W. Atlanta, GA 30303 due Nov., 15

FAX TRANSMITTAL COVER SHEET

TO: Norman Davis	FROM Jon Fer Alexander
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	DATE: 10/26/00
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CONTACT: POSSON: Oniter	
PHONE NO: (404) 335-	

United States Court of Appeals Eleventh Circuit

56 Forsyth Street, N.W. Atlanta, Georgia 30303

Thomas K. Kahn Clerk In Replying Give Number Of Case And Names of Parties

October 26, 2000

MEMORANDUM TO ALL ADDRESSEES:

NO. 00-10510 GREENBERG vs. NATIONAL GEOGRAPHIC SUCIETY

Pursuant to the Court's direction, defendant-appellee, Mindscape, is directed to supplement the record by providing this court with a copy of the copyright registration certificate and the deposit materials pertaining to the Mindscape Program ("Program") (i.e., the subject of the "shrink-wrap" license) portion of the National Geographic CD-ROM Product ("Product").

Further, the parties are directed, under separate cover, to provide this court with copies of any agreements between Greenberg and the National Geographic Society ("Society") in which Greenberg agreed to permit the Society to use his photographs in National Geographic Magazine ("Magazine"). The parties should also provide this court with a copy of the letter in which Greenberg requested the Society transfer all rights in his pictures back to him, as well as provide a copy of the document in which the Society transferred those rights back to him. If any of these documents are already in the record, the parties should provide the applicable citations to the record, as well.

Additionally, the parties and amici are directed to file supplemental briefs, not to exceed 15 pages, on the following two issues:

(1) Assuming, arguendo, that the language of 17 U.S.C. Sect. 201(c) is ambiguous and/or subject to interpretation, and it is helpful to look to the legislative history for clarification, please discuss the following excerpt from H.R. Rep. No. 94-1476 (1976): "Under the language of this clause... the publisher could not revise the contribution itself or include it [the contribution] in a new anthology or entirely different magazine or other collective work." (comphasis added). Specifically, address whether the Product in its entirety represents a "new collective work" within the purview of that legislative history.

Page 002

Oct-27-00

Address the issue of whether a change in the medium (from print to digital) for the (2) collective set of individual issues of the Magazine (each of which constitutes a collective work), integrated together with the Moving Sequence and the Program constitutes a new product, in a new medium, in a new market, that transcends the publisher's privilege in Sect. 201(c).

Each party and amicus shall have 20 days from the date of this notice in which to submit to the Court the requested documents and supplemental briefs. These items must physically be received in this office by the due date (November 15, 2000).

This notice is being sent to counsel by facsimile transmission. A hard copy of this notice will also be placed in the mail for counsel's files. If there are any questions regarding the above, please contact the Clerk's office immediately.

Sincerely,

THOMAS K. KAHN, Clerk

BY: Brenda H. McCoonell, Manager WYC Case Closing/(404) 335-6209

Reply to Brenda McConnell at the above number or Jenifer Alexander at (404) 335-6172.

Antional Geographic Magazine

WASHINGTON, D. C. 20036

KENT J. KOBERSTEEN
ALEISIAN DIRECTOR OF PROTOGRAPHY

June 14, 1989

Mr. Jerry Greenborg 6840 S.W. 92nd Street Miami, FL 33156

Dear Jerry:

This letter, when signed by both parties, will constitute your agreement as a freelance photographer with the National Geographic Society ("NGS") to photograph Pennekomp Reef Park(105738) for National Geographic Magazine.

- 1) This assignment will extend for 20 days. Your compensation will be at the rate of:
 - (a) \$350 per day for days spent shooting or in field research (i.c., research directly germane to producing the coverage); and
 - (b) one half of the above rate per day for days spent in travel (including arranging or waiting for travel); on standby, in the field or elsewhere; or in consulting with NGS editors ("editorial days").
 - 2) Your compensation is subject to the following provisions:
 - (a) Work beyond the anticipated assignment days mentioned above will be compensated at the same daily rates as above. Should it become necessary to extend this assignment for additional days, you must get authorization to do so from the Director of Photography, the Assistant Director of Photography or the Illustrations Editor assigned to the project.
 - (b) The total compensation paid to you for this assignment will be applied against the page rate of \$300 a page for the <u>National</u> Geographic Magazine, or a minimum of \$100 a transparency, whichever is greater.
 - 3) When working for NGS, you will carefully avoid doing similar work for publications which NGS would consider to be editorially competitive with it. You will advise NGS promptly of any possible conflict of interest that may develop. You also will take care not to grant any prepublication interviews or assist in any way in the preparation of any prepublication articles or other press coverage in any medium which would reveal the subject matter, editorial content or the scheduling of an assignment, article or story for the National Geographic Society.

Mr. Jerry Greenberg (Pennekamp Reef Park/#05738) -2-

June 14, 1989

- 4) By this Agreement you warrant to NGS that publication of any photographs taken by you on this assignment will not infringe upon any right of privacy, copyright or any other proprietary right of a third party.
- 5) All photographs taken by you under this Agreement will be considered as specially commissioned for use by NGS and upon creation all rights, including the copyright and world publication rights, to these photographs will automatically, by virtue of this Agreement, be deemed transferred exclusively and indefinitely to NGS, subject to the following provisions:
 - (a) all photographs will be returned to you along with all rights to said photographs under the following conditions: (i) none may be made available to anyone for publication until sixty days after NGS has published its selections; (ii) it is understood that any necessary rights clearance or release for non-NGS publication is your independent responsibility; and (iii) NGS may make and retain copies of some of the photographs ("reference selects") for reference purposes only in its Illustrations Library;
 - (b) you grant to NGS without additional charge the right to use your name, likeness and biographical material in connection with the publication of any photographs retained by NGS under this Agreement;
 - (c) NGS may crop your photographs.
- 6) You undertake all work under this Agreement as an independent contractor. NGS assumes no responsibility for your health, safety or property or that of any parson accompanying or assisting you. While on assignment in the field you will be covered by NGS's accident insurance policy, which provides a payment of \$200,000 to you in the event of total disability or to your beneficiary, specified below, in the event of death, and lesser coverage for other injuries. The policy also provides up to \$10,000 for excess medical coverage, i.e. for costs beyond those covered by your own parsonal accident and health coverages. The above coverage is restricted to you alone.
- 7) While you are on NGS assignment, NGS will pay or reimburse you for all reasonable expenses and will supply you with film and processing. At the close of this assignment you will provide NGS with a diary of your activities while on assignment, including an accurate and complete record of the people and places represented in your photographs. A final accounting of expenses, supplies, etc. for an assignment will be due to NGS no later than two weeks after the work on that assignment is finished. Failure to reconcile expense/supply accounts with NGS in a timely manner may impede payment of fees.
- 8) Your work on this assignment will not be considered complete until so indicated by the Illustrations Editor. At the end of the assignment you will deliver to NGS all photographs you have taken on this assignment as well as complete captions for your photographs. You will be available for a projection session with the editor to explain your work. If you are

F-211

-3-

Mr. Jerry Greenberg (Pennekamp Reef Park/#05738) June 14, 1989

called back for editorial consultation, you will be paid a fee of \$175 per day plus expenses.

9) This agreement cannot be modified except by written instrument signed by both of us.

If the foregoing is acceptable, please sign and return the enclosed copy of the Agraement to me.

> Sincerely yours, NATIONAL SECGRAPHIC SECIETY

.ccepted: - 223-833 Social Security/ Check to be Written to the Order of Credit Line to read ALL RIGHTS RESERVED

Address

(For NGS records): Executed copy received:

Date

KJK/mac

National Geographic Magazine

WASHINGTON, D. C. 20036

ROBERT S. PATTON



May 9, 1990

Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

Dear Jerry:

Enclosed is our check in the amount of \$3,000 in payment for the one-time use of your two panoramas and one stock photograph with our upcoming Pennekamp Reef Park article. Enclosed also is our voucher with an itemization of the transparencies and rates. We will retain the originals of the 1961 "wall" panorama in our files for safety, as before.

The remaining published photographs are from the 1989 assignment and payment has already been made to you through the minimum guarantee. Under the terms of the assignment, the originals of the published pictures will be returned to you as soon as they have been video disked for our files. The yellow boxes and workbox will be returned shortly as well.

Hope all is well with you.

Sincerely,

Robert S. Patton Illustrations Editor

RSP/j

Enc.: check #269421/\$3,000

voucher #25980

ery: Good Stuff.

NATIONAL GEOGRAPHIC SOCIETY

SEVENTEENTH AND M STREETS, N.W. WASHINGTON, D.C. 20036

25980

Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

THE ATTACHED CHECK IS IN SETTLEMENT OF ITEMS LISTED BELOW. IF INCORRECT, PLEASE RETURN THIS YOUCHER WITH CHECK.

THE ATTACHED CHECK IS IN SETTLEMENT OF TEMPOLOTICS DESCRIPTION					AMOUNT	
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Panoramas:	JG-260	Stock:	JG-978		ł	

January 15, 1990



Mr. Robert Patton NATIONAL GEOGRAPHIC MAGAZINE Washington D.C. 20036

Dear Bob:

By now, you have probably made you final selection on the photos you will be using on the Pennekamp Park story. I have enclosed a copy of an assignment of copyright on the three major pieces that I have done for the magazine. They are PENNEKAMP PARK (January, 1962), SHARKS (February, 1968) and BUCK ISLAND (May, 1971).

If you intend to use any photographs from the original Pennekamp Park piece, the proper copyright credit should now read:

© 1962, 1990, Jerry Greenberg. All rights reserved

Any other photographs of mine which will be used in the article should bear the copyright credit of:

(1990, Jerry Greenberg. All rights reserved

Thank you on taking care of the above matters for me. As you know, copyrights are very important to me. In fact I am now filling out the proper RE form for the January, 1962 material, as it has been 28 years from its first publication.

There is one more item I want to check with you on. I understand that it is a practice of your news release department to place pieces with the outside media about forthcoming articles that will appear in the National Geographic Magazine. I would appreciate it if you would advise this department not to release any of my photographs to the outside media for this purpose. This is not a matter of money or the fact that I do not want to promote our feature will will be coming out in the July 1990 issue. My only concern on this matter is the problem of newspapers and magazines getting my material from the Geographic and placing these photographs in their picture "morgue" and having unauthorized use of them later on. I have had some problems with several newspapers some years ago and do not want to go through this aggravation again:

Sincerely yours, prod. DISTRICT OF COLUMBIA
The Bond Buyer - 08/14/2000
Copyright (c) 2000 American Banker, Inc. All Rights Reserved.

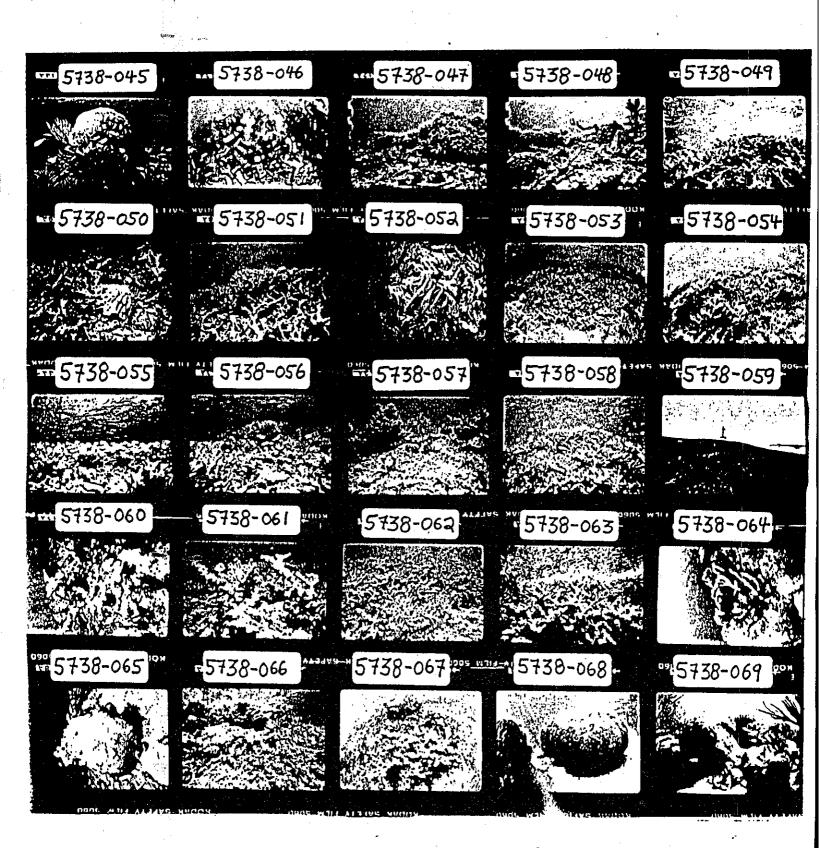
Moody's Investors Service has rated the National Geographic Society's first-ever public debt offering. The \$24.27 million of tax-exempt variable-rate revenue bonds, expected to sell Aug. 17, garnered a rating of Aa2/VMIG-1 from Moody's, but has not been rated by Standard & Poor's or Fitch. John C. Nelson, a senior vice president in Moody's public finance group, said the 501(c)(3) organization is planning to use the Series 2000 bond proceeds mainly to fund renovations and equipment upgrades at its headquarters building in the District of Columbia.

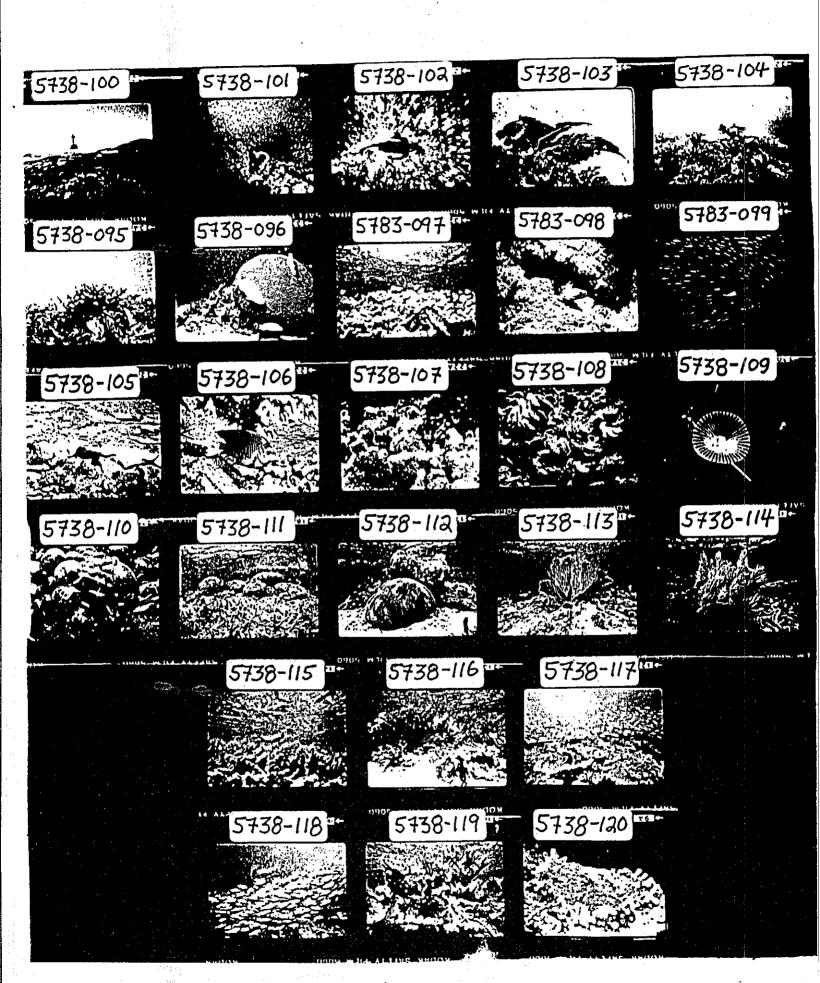
Although the society, which he described as one of the oldest and most successful not for-profit organizations in the country, has considerable balance sheet assets, Nelson said Moody's gave the bonds an Aa2 rating because sales of its core product, National Geographic Magazine, and its U.S. membership base, are not growing. Although there is great overseas potential for its products, it is not clear if the society will be able to capture it, he said. The underwriter in the deal is Morgan Stanley Dean Witter, and the bonds are due to mature in 2014.

http://www.bondbuyer.com

JERRY S SET







CERTIFICATE OF COPYRIGH REGISTRATION



This certificate, issued under the seal of the Copyright Office in accordance with the provisions of section 410(a) of title 17. United States Code, attests that copyright registration has been made for the work identified below. The information in this certificate has been made a part of the Copyright Office records.

UNITED STATES COPYRIGHT OFFICE

REGISTRATION NUMBER

2045406

EFFECTIVE DATE OF REGISTRATIO

MAR 1 1 1991

OFFICIAL:	SEAL
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W

LIBEAL

DO NOT WRITE ABOVE THIS LINE. IF YOU NEED MORE SPACE, USE A SEPARATE CONTINUATION SHEET.

REGISTER OF COPYRIGHTS

TITLE OF THIS WORK Y

NATURE OF THIS WORK ▼ See instructions

NATIONAL GEOGRAPHIC SELECTS (5738-045 to 5738-120)

76-PHOTOGRAPHS

PREVIOUS OR ALTERNATIVE TITLES Y

PUBLICATION AS A CONTRIBUTION If this work was published as a contribution to a periodical, serial, or collection, give information about the collective work in which the contribution appeared. Title of Collective Work 1

If published in a periodical or serial give: Volume Y

Number Y

Issue Date Y

On Pages Y

NAME OF AUTHOR ▼ a

Jerry Greenberg

"work made for hire"?

DATES OF BIRTH AND DEATH Year Dorn ▼ Year Died Y

Was this contribution to the work a ☐ Yes

AUTHOR'S NATIONALITY OR DOMICILE

WAS THIS AUTHOR'S CONTRIBUTION TO THE WORK ☐ Yes 🖾 No Anonymous?

If the answer to either of these questions is

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OR { Cilizen of ▶ ملمعال Domiciled in LUSA

☐ Yes 🔯 No Pseudonymous?

Yes," see detailed instructions

NATURE OF AUTHORSHIP

Briefly describe nature of the material created by this author in which copyright is claimed. Jerry Greenberg shot these photographs in summer of 1989

DATES OF BIRTH AND DEATH

NAME OF AUTHOR Y

Year Born Y

Was this contribution to the work a work made for hire"? ☐ Yes

AUTHOR'S NATIONALITY OR DOMICILE Name of country [Citizen of)

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□ No NATURE OF AUTHORSHIP

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of these questions is Yes," see detailed instructions,

■ Nation

NATURE OF AUTHORSHIP Briefly describe nature of the material created by this author in which copyright is claimed. T

YEAR IN WHICH CREATION OF THIS WORK WAS COMPLETED This information 1989 in all cases,

Complete this information Month ONLY If this work has been published.

DATE AND NATION OF FIRST PUBLICATION OF THIS PARTICULAR WORK

Pris space.

Sea instructions before completing

COPYRIGHT CLAIMANT(S) Name and address must be given even if the claimant is the same as the author given in space 2.

Jerry Greenberg 6840 SW 92nd Street Miami, Florida 33156

TRANSFER If the claimant(s) named here in space 4 are different from the author(s) named in space 2, give a brief statement of how the claimant(s) obtained ownership of the copyright. APPLICATION RECEIVED

ONE DEPOSIT RECEIVED 풊 * Irus

元のTWO DEPOSITS RECEIVED

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National Geographic Society

WASHINGTON, D. C. 20036

SUZANNE DUPRÉ

July 3, 1989

Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

Dear Mr. Greenberg:

The National Geographic Society hereby assigns to you all right, title and interest, including copyright, in the following photographs that were selected for the Society's permanent collection:

- -- U.S. National Parks Virgin Islands File No. JG-964 Date: October 1965
- -- U.S. National Parks Virgin Islands File No. JG-975 Date: October 1965 Published in Treasures in the Sea, p. 13
- -- U.S. National Parks Virgin Islands File No. JG-976 Date: October 1965
- -- U.S. National Parks Virgin Islands File No. JG-984 Date: October 1985

Sincerely yours,

Suzanne Dupri

National Geographic Magazine

WASHINGTON, D.C. 20036

SUSAN A. SMITH
DIRECTOR OF SUPPORT SERVICES
PHOTOGRAPHY

July 10, 1989

Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

Dear Mr. Greenberg:

I am happy to be able to return to you four of your photographs that were originally part of your 1965 Virgin Islands coverage and that were retained for our permanent collection to offset a minimum guarantee.

A letter from Suzanne Dupre assigning copyright to you is enclosed.

Suncerely, Man Smith

National Geographic Magazine

WASHINGTON, D.C. 20036

SUSAN A. SMITH DIRECTOR OF SUPPORT SERVICES PHOTOGRAPHY

July 10, 1989

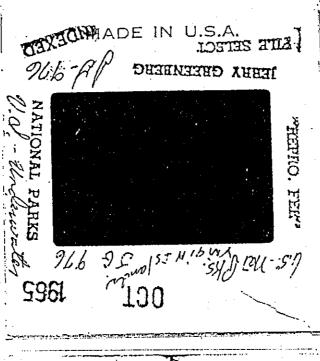
Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

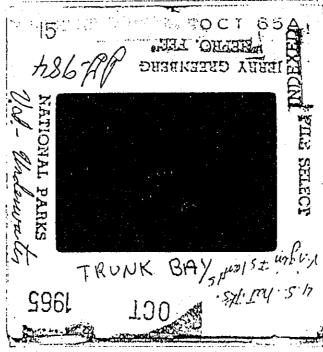
Dear Mr. Greenberg:

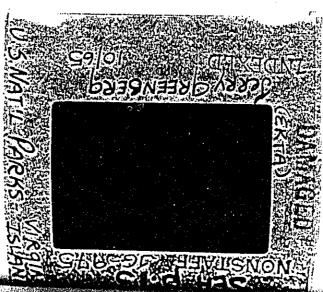
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A letter from Suzanne Dupre assigning copyright to you is enclosed.

Sincerely, Swan Smith







Settlement Proposal - Counts I and II

- (1) The Society will pay to the Greenbergs the sum of \$42,000.00. That sum is inclusive of all legal expense to date that is related to the claims in Counts I and II.
- (2) Additionally, the Society will pay to the Greenbergs each year the sum of \$1,000.00 as a license fee for the continued use by Educational Insights, Inc. of the disputed images in its product. The fee for 1998 will be paid with the amount stated in (1) above. Fees for any subsequent years will be paid by January 1 of each year of license. The license will expire at the end of each year and no further fees will be required upon receipt by the Greenbergs of a sworn statement by an officer of Educational Insights, Inc. or the Society that all manufacture, distribution and sale of the product containing the disputed images has ended.
- (3) In order to safeguard against any future problems, the Society (and its affiliates, if applicable) will promptly provide to Mr. Greenberg a visual inventory of all photographs created originally by him, or any copies or derivatives that the Society is holding in its files, archives, libraries, databases or elsewhere. Mr. Greenberg will thereupon, utilizing the inventory, identify all such items for which he holds copyright and for which he can demonstrate such copyright or other proprietary right, and provide such identification to the Society. The Society will provide written assurance that no photographs or items so identified will ever be published, distributed, licensed, sold or otherwise utilized by the Society, or by others with its consent or participation, without a prior written agreement with Mr. Greenberg.
- (4) Copyright in the disputed images in the Educational Insights product will be assigned to Mr. Greenberg by the Society. Such assignment will not be effective as to any other images contained in that product.
- (5) Upon satisfaction of the items listed above, the Greenbergs are willing to execute a limited release applicable to the matters addressed in Counts I and II.

National Geographic Society

WASHINGTON, D. C. 20036

SUZANNE DUPRÉ

July 3, 1989

Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, Florida 33156

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