

MEMOKANDUM

TO:

Ramon Bazzocchi, NHQ 1-603

FILE REFERENCE:

FROM:

Stanton D. Weinstein

SUBJECT:

Magnavox and Sanders v. Activision

DATE:

August 1, 1989

This memorandum confirms our telephone conversation of this afternoon.

Defendant Activision has now been renamed Mediagenic.

Sanders is currently suing Activision under the TV games patents. Validity and infringement were found at the first trial, and were upheld on appeal. The second trial is intended to assess damages. One issue regarding damages is pre-judgement interest. Magnavox and Sanders are seeking to have Activision pay interest on money damages owed them by Activision. The interest rate has been put in issue by Activision. Accordingly, in discovery relating to the second Activision trial, they are seeking documents regarding corporate cost of funds.

Forwarded herewith is a copy of Activision's First Request for Production of Documents Regarding Accounting and Damages, and a copy of Activision's Fourth Set of Interrogatories to Plaintiffs Regarding Accounting and Damages. Please respond to Requests for Production 4. and 5. and to Interrogatories 3 and 4.

Outside counsel for this matter are Theodore W. Anderson, James T. Williams and Richard A. Cederoth, all of the firm of Neuman, Williams, Anderson & Olson, Chicago, Illinois. Further clarification will be forthcoming from them.

Stanton D. Weinstein

Acting Director

Patents & Licensing

SDW:nd

NEUMAN, WILLIAMS, ANDERSON & OLSON

ATTORNEYS AND COUNSELORS

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CHICAGO. ILLINOIS 60602-2954

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WASHINGTON OFFICE CRYSTAL PLAZA ONE-SUITE 903 2001 JEFFERSON DAVIS HIGHWAY ARLINGTON, VIRGINIA 22202-3603 703-892-8787 TELECOPIER 703-892-8792

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SIDNEY NEUMAN FRED T. WILLIAMS JAMES R. DOWDALL COUNSEL

VAN METRE LUND E. EUGENE INNIS** ASSOCIATE COUNSEL

*ADMITTED IN D.C. AND VIRGINIA AND RESIDENT IN WASHINGTON OFFICE

**ADMITTED IN PENNSYLVANIA AND RESIDENT IN ALLENTOWN OFFICE

July 25, 1989



VIA FEDERAL EXPRESS

Stan Weinstein, Esq. Sanders Associates, Inc. Daniel Webster Highway, South Nashua, New Hampshire 03061

Re: Magnavox/Sanders v. Activision

Dear Stan:

Enclosed are the following:

- Activision, Inc.'s First Request For Production of Documents Regarding Accounting and Damages; and
- Defendant Activision, Inc.'s Fourth Set of Interrogatories to Plaintiffs Regarding Accounting and Damages.

Very truly yours,

NEUMAN, WILLIAMS, ANDERSON & OLSON

Richard A. Cederoth, Esq.

RAC:mv Enclosures

MARTIN R. GLICK H. JOSEPH ESCHER III PAULINE E. CALANDE HOWARD, RICE, NEMEROVSKI, CANADY, ROBERTSON & FALK A Professional Corporation Three Embarcadero Center, 7th Floor San Francisco, California 94111 5 Telephone: 415/434-1600 Attorneys for Defendant Mediagenic 7 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 C 82 5270 CAL THE MAGNAVOX COMPANY, a No. 11 corporation, and SANDERS ASSOCIATES, INC., a corporation, ACTIVISION, INC.'S FIRST HOWARD 12 REQUEST FOR PRODUCTION OF RICE **EMEROVSKI** Plaintiffs, DOCUMENTS REGARDING CANADY ACCOUNTING AND DAMAGES 13 ROBERTSON vs. & FALK 14 ACTIVISION, INC., a corporation, rofessional Corporation 15 Defendant. 16 17

TO PLAINTIFFS AND THEIR ATTORNEYS OF RECORD:

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PLEASE TAKE NOTICE that Defendant Activision, Inc.
requests, pursuant to Rule 34 of the Federal Rules of Civil
Procedure, that Plaintiffs The Magnavox Company and Sanders
Associates, Inc. produce and permit inspection and copying of the
documents specified herein. The production of documents for
inspection and/or copying shall take place at 10:00 a.m. on August
14, 1989 at the law offices of Howard, Rice, Nemerovski, Canady,
Robertson & Falk, A Professional Corporation, Three Embarcadero

Center, 7th Floor, San Francisco, California 94111.

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25 26 INSTRUCTIONS AND DEFINITIONS

The following instructions apply to this request for documents:

- In producing documents and things, you are requested to furnish all documents (including all duplicates, copies, or drafts thereof) or things in your possession, custody, or control, or known or available to you.
- 2. If any requested document or thing cannot be produced in full, produce it to the extent possible, indicating what is being withheld and the reason it is being withheld.
- 3. All documents should be produced in the same order as they are kept or maintained in the ordinary course of business.
- 4. All documents should be produced in the manual, booklet, binder, file, folder, envelope, or other container in which they are ordinarily kept or maintained. If for any reason the container cannot be produced, you should produce copies of all labels or other identifying markings thereon.
- 5. If a document once existed but has been lost or destroyed, or otherwise is no longer in your possession, please identify the document and state the details concerning the loss of any such document, including the name and address of the present custodian of any such document if known to you.
- 6. If you claim that the attorney-client privilege or any other privilege is applicable to any document, that document

need not be produced now, but you shall with respect to that document:

- (a) state the date of the document;
- (b) identify each author of the document;
- (c) identify each other person who prepared or participated in the preparation of the document;
- (d) identify each person who ever received the document from any source;
- (e) identify each person from whom the document was received;
- (f) state the present location of the document and all copies thereof;
- (g) identify each person having custody or control of the document or of any copy thereof; and
- (h) provide sufficient further information concerning the document and the circumstances thereof to explain and substantiate the claim of privilege and to permit the adjudication of the propriety of that claim.
- 7. As used herein, the words "document" and "documents" mean any kind of printed, recorded, written, graphic, photographic, magnetic, or electronic matter within the scope of Rule 26(b) of the Federal Rules of Civil Procedure.
- 8. Any comment, notation, or marking appearing on any document, and not a part of the original, is to be considered a separate document, and any draft, preliminary form, or superseded version of any document is also to be considered a separate

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- 9. "You" or "your" shall mean and refer to Plaintiffs and each of them, and all other persons acting and/or purporting to act on their behalf.
- as to not exclude any information otherwise within the scope of any request.
- 11. "Each" means "each and every," and "all" means "any and all."

REQUESTS FOR PRODUCTION

- All documents which refer or relate to communications with Alpex Corporation (or its counsel) relating to video game patents.
- 2. All documents which refer or relate to video game patents for which Plaintiffs entered into licenses (excluding the patent in suit).
- 3. All documents which refer or relate to a reduction in the per unit minimum royalty rate.
- 4. All documents which refer or relate to the cost of funds borrowed by Plaintiffs from January 1, 1980 to May 1, 1989.
- 5. All documents which refer or relate to the amount of funds borrowed by Plaintiffs from January 1, 1980 to May 1, 1989.
- 6. All documents which refer or relate to the transfer of the Magnavox license on the Sanders video game patents to North American Philips Corp.

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1	7. All docum	ents communicated between you and any
. 2	expert witnesses you hav	e retained to testify in this action.
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4	DATED: July <u>14</u> , 1989	
5		MARTIN R. GLICK
6		H. JOSEPH ESCHER III PAULINE E. CALANDE
7		HOWARD, RICE, NEMEROVSKI, CANADY, ROBERTSON & FALK
8		A Professional Corporation
9		By 7-12/m
10		H. JOSEPH ESCHER III
		Attorneys for Defendant
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PROOF OF SERVICE BY MAIL

I am employed in the County of San Francisco, State of California. I am over the age of eighteen (18) years and not a party to the within action; my business address is Three Embarcadero Center, 7th Floor, San Francisco, California 94111.

I am readily familiar with the practice for collection and processing of documents for mailing with the United States Postal Service of Howard, Rice, Nemerovski, Canady, Robertson & Falk, A Professional Corporation, and that practice is that the documents are deposited with the United States Postal Service the same day as the day of collection in the ordinary course of business.

On July 14, 1989, I served the foregoing document(s) described as ACTIVISION, INC.'S FIRST REQUEST FOR PRODUCTION OF DOCUMENTS REGARDING ACCOUNTING AND DAMAGES on the persons listed below by placing the document(s) for deposit in the United States Postal Service through the regular mail collection process at the law offices of Howard, Rice, Nemerovski, Canady, Robertson & Falk, A Professional Corporation, located at Three Embarcadero Center, 7th Floor, San Francisco, California, to be served by mail addressed as follows:

James T. Williams Neuman, Williams, Anderson & Olson 77 West Washington Street Chicago, Illinois 60602-2954

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I declare under penalty of perjury that the foregoing is true and correct. Executed at San Francisco, California on July 14, 1989.

William Cohen

HOWARD RICE 12 EMEROVSKI

CANADY 13 OBERTSON & FALK 14

ofessional Corporation

PROOF OF SERVICE BY HAND

I am employed by Howard, Rice, Nemerovski, Canady, Robertson & Falk, A Professional Corporation, and my business address is Three Embarcadero Center, 8th Floor, San Francisco, California, 94111. I am over the age of eighteen (18) years and not a party to the within action.

On July 14, 1989, I served a true copy of the foregoing document(s) described as ACTIVISION, INC.'S FIRST REQUEST FOR PRODUCTION OF DOCUMENTS REGARDING ACCOUNTING AND DAMAGES by delivering said document(s) by hand to the following:

Robert L. Ebe McCutchen, Doyle, Brown & Enersen Three Embarcadero Center, Suite 2800 San Francisco, California 94111

I declare under penalty of perjury that the foregoing is true and correct. Executed at San Francisco, California on July 14, 1989.

Slam Cohen

HOWARD 12 RICE EMEROVSKI CANADY 13 ROBERTSON & FALK 14 Professional Corporation

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MARTIN R. GLICK H. JOSEPH ESCHER III PAULINE E. CALANDE HOWARD, RICE, NEMEROVSKI, CANADY, ROBERTSON & FALK A Professional Corporation Three Embarcadero Center, 7th Floor San Francisco, California 94111 Telephone: 415/434-1600 Attorneys for Defendant Mediagenic 6 7 UNITED STATES DISTRICT COURT 8 NORTHERN DISTRICT OF CALIFORNIA 9 10 THE MAGNAVOX COMPANY, a corporation, and SANDERS HOWARD 12 ASSOCIATES, INC., a corporation,

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C 82 5270 CAL No.

DEFENDANT ACTIVISION, INC.'S FOURTH SET OF INTERROGATORIES TO PLAINTIFFS REGARDING ACCOUNTING AND DAMAGES

VS. ACTIVISION, INC., a corporation, Defendant.

Plaintiffs,

TO PLAINTIFFS AND THEIR ATTORNEYS OF RECORD:

Pursuant to Rule 33 of the Federal Rules of Civil Procedure, Defendant Mediagenic (formerly Activision, Inc.) ("Activision") hereby serves the following interrogatories upon Plaintiffs and requests that each interrogatory be answered separately and fully by Plaintiffs in writing under oath within thirty (30) days after the service of these interrogatories. you cannot answer any interrogatory fully and completely after exercising due diligence to make inquiry and secure the information necessary to do so, please so state and answer each such

DEFENDANTS' FOURTH SET OF INTERROGS RE ACCOUNTING & DAMAGES

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interrogatory to the full extent you deem possible, specify the portion of such interrogatory that you claim to be unable to answer, your contention as to why you are unable to answer the interrogatory fully and completely, and state what knowledge, information or belief you have concerning the unanswered portion of each such interrogatory.

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DEFINITIONS AND INSTRUCTIONS

- A. "You," "your" and "Plaintiffs" refer to Plaintiffs, The Magnavox Company and Sanders Associates, and each of them.
- Activision incorporates by reference the definitions and instructions contained in its first set of interrogatories regarding accounting and damages.

INTERROGATORIES

INTERROGATORY NO. 1:

Identify all patents on video game technology to which you are a licensee.

RESPONSE TO INTERROGATORY NO. 1:

DEFENDANTS' FOURTH SET OF INTERROGS RE ACCOUNTING & DAMAGES -2-

INTERROGATORY NO. 2: 1 State the terms (including royalty rate) on each such 2 license set out in response to interrogatory No. 2. 3 RESPONSE TO INTERROGATORY NO. 2: 4 5 6 7 8 INTERROGATORY NO. 3: 9 State the cost (by percentage interest by quarter) of 10 funds borrowed by you from January 1, 1980 to May 1, 1989. 11 RESPONSE TO INTERROGATORY NO. 3: HOWARD 12 RICE **EMEROVSKI** CANADY 13 OBERTSON & FALK 14 ofessional Corpotation 15 INTERROGATORY NO. 4: 16 State the total amount (by quarter) of funds borrowed by you from January 1, 1980 to May 1, 1989. 17 RESPONSE TO INTERROGATORY NO. 4: 18 19 20 21 22 111 23 /// 24 111 25 111 26 111

INTERROGATORY NO. 5:

Identify each instance of modification of obligations under license agreements (including waiver) of the per-unit minimum royalty due on licenses for Sanders Associates video game patents.

RESPONSE TO INTERROGATORY NO. 5:

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DATED:

July <u>/4</u>, 1989

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MARTIN R. GLICK
H. JOSEPH ESCHER III
PAULINE E. CALANDE
HOWARD, RICE, NEMEROVSKI, CANADY,
ROBERTSON & FALK
A Professional Corporation

H JOSEPH ESCHER III

Attorneys for Defendant

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James T. Williams Neuman, Williams, Anderson & Olson 77 West Washington Street Chicago, Illinois 60602-2954

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I declare under penalty of perjury that the foregoing is true and correct. Executed at San Francisco, California on July 14, 1989.

William Cohen

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Professional Corporation

PROOF OF SERVICE BY HAND

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As File. Acturous litigation

SANDERS ASSOCIATES, INC. COST OF REVOLVING CREDIT AGREEMENT JANUARY 01,1980 TO SEPTEMBER 30,1986

PATE	NO. DAYS	PRIME RATE		CE REQ. (\$000)	EFFECTIVE RATE
Q1	91	16.35	7.49	2,016	17.68
Q2	91	16.36	7.00	1,960	17.60
03	92	11.53	7.00	1,960	12.40
Q4	92	16.61	7.00	1,960	17.86
1980	366	15.20	7.12	1,974	16.38
Q1	90	19.07	7.00	1,960	20.51
Q2	91	18.93	7.00	1,960	20.36
Q3	92	20.33	7.00	1,960	21.86
04	92	17.02	7.00	1,960	18.30
1981	365	18.83	7.00	1,960	20.26
Q1	90	16.26	6.64	2,056	17.42
Q2	91	16.50	5.00	2,500	17.37
Q3	92	14.73	5.00	2,500	15.50
Q4	92	11.82	5.00	2,500	12.44
1982	365	14.81	5.40	2,391	15.67
Q1	90	10.87	5.00	2,500	11.44
02	91	10.50	5.00	2,500	11.05
Q3	92	10.78	5.00	2,500	11.35
Q4	92	11.00	5.00	2,500	11.58
1983	365	10.78	5.00	2,500	11.36
Q1	90	11.07	5.00	2,500	11.65
Q2	91	12.28	5.00	2,500	12.92
Q3	92	12.99	3.34	1,671	13.44
Q4	92	11.82	2.50	1,250	12.12
1984	365	12.04	3.95	1,976	12.54
Q1	91	10.54	2.50	1,250	10.81
02	91	10.21	2.50	1,250	10.47
Q3	92	9.50	2.50	1,250	9.74
Q4	92	9.50	2.50	1,250	9.74
1985	366	9.94	2.50	1,250	10.19
Q1	90	9.37	2.50	1,250	9.61
02	91	8.62	2.50	1,250	8.84
Q3	92	7.87	2.50	1,250	8.07
1986	273	8.61	2.50	1,250	8.83

SANDERS ASSOCIATES, INC. COST OF REVOLVING CREDIT AGREEMENT JANUARY 01,1980 TO SEPTEMBER 30,1986

DATE	NO. DAYS	PRIME RATE	BALANC % line	CE REQ. (\$000)	EFFECTIVE RATE
1980 01/01 02/15 02/19 02/22 02/29 03/04 03/07 03/14 03/19 03/28 Q 1	15 35 37 4 37 5 93	15.25 15.25 15.75 16.25 16.75 17.25 17.75 18.50 19.00 19.50 16.35	10.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	2,300 1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960 2,016	16.94 16.40 16.94 17.47 18.01 18.55 19.09 19.89 20.43 20.97 17.68
04/01 04/02 04/18 04/29 05/06 05/16 05/23 05/28 06/06 06/13 02	2 16 11 7 10 7 5 9 7 17 91	19.50 20.00 19.50 18.50 17.50 16.50 15.00 14.00 13.00 12.00 16.36	7.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	20.97 21.51 20.97 19.89 18.82 17.74 16.13 15.05 13.98 12.90 17.60
07/01 07/07 07/24 08/04 08/22 08/27 09/12 09/19 09/26	7 17 11 18 5 16 7 7 4 92	12.00 11.50 10.75 11.00 11.25 11.50 12.25 12.50 13.00 11.53	7.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	12.90 12.37 11.56 11.83 12.10 12.37 13.17 13.44 13.98 12.40
10/01 10/17 10/29 11/06 11/17 11/21 11/26 12/02 12/05	17 12 8 11 4 5 6 3 5	13.50 14.00 14.50 15.50 16.25 17.00 17.75 18.00 19.00	7.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	14.52 15.05 15.59 16.67 17.47 18.28 19.09 19.35 20.43

12/10 12/16 12/19 12/29 04	6 3 10 2 92	20.00 21.00 21.50 20.50 16.61	7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960	21.51 22.58 23.12 22.04 17.86
1980	366	15.21	7.12	1,974	16.38
1981 01/01 01/06 01/09 02/03 02/23 03/03 03/11 03/13 03/19 01	6 3 25 20 8 8 2 6 12 90	20.50 19.50 20.00 19.50 19.00 18.50 18.00 17.50 17.00 19.07	7.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	22.04 20.97 21.51 20.97 20.43 19.89 19.35 18.82 18.28 20.51
04/01 04/20 04/30 05/04 05/11 05/19 05/22 06/01 06/15 06/23	20 10 4 7 8 3 10 14 8 7	17.50 17.50 18.00 19.00 19.50 20.00 20.50 20.00 19.00 20.00 18.93	7.00 7.00 7.00 7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	18.82 18.82 19.35 20.43 20.97 21.51 22.04 21.51 20.43 21.51 20.36
07/01 07/08 09/15 09/22 03	69 7 8	20.00 20.50 20.00 19.50 20.33	7.00 7.00 7.00 7.00 7.00	1,960 1,960 1,960 1,960 1,960	21.51 22.04 21.51 20.97 21.86
10/01 10/05 10/13 11/02 11/05 11/16 11/24 12/01 Q4	5 8 20 3 11 8 7 30 92	18.00 17.50 17.00 16.50 16.00 15.75	7.00 .	1,960 1,960 1,960 1,960 1,960 1,960 1,960 1,960	20.97 20.43 19.35 18.82 18.28 17.74 17.20 16.94 18.30
1981	365	18.83	7.00	1,960	20.25
1982 01/01 02/02 02/17 02/23 03/15	33 15 6 20 16	16.50 17.00	7.00 7.00 7.00 7.00 5.00	1,960 1,960 1,960 1,960 2,500	16.94 17.74 18.28 17.74 17.37

Q1	90	16.26	6.64	2,056	17.42
04/01 Q2	91 91	16.50 16.50	5.00 5.00	2,500 2,500	17.37 17.37
07/01 07/20 07/26 08/02 08/13 08/18 08/23 03	20 6 7 11 5 5 38 92	16.50 16.00 15.50 15.00 14.50 14.00 13.50 14.73	5.00 5.00 5.00 5.00 5.00 5.00 5.00	2,500 2,500 2,500 2,500 2,500 2,500 2,500 2,500	17.37 16.84 16.32 15.79 15.26 14.74 14.21 15.50
10/01 10/07 10/12 10/22 11/16 11/22	7 5 10 25 6 39	13.50 13.00 12.00 11.50 12.00 11.50	5.00 5.00 5.00 5.00 5.00	2,500 2,500 2,500 2,500 2,500 2,500	14.21 13.68 12.63 12.11 12.63 12.11
Q4	92	11.82	5.00	2,500	12.44
1982	365	14.81	5.41	2,391	15.67
1983 01/01 01/11 02/25 01	11 45 34 90	11.50 11.00 10.50 10.87	5.00 5.00 5.00 5.00	2,500 2,500 2,500 2,500	12.11 11.58 11.05 11.44
04/01 Q2	91 91	10.50	5.00 5.00	2,500 2,500	11.05 11.05
07/01 08/09 Q3	40 52 92	10.50 11.00 10.78	5.00 5.00 5.00	2,500 2,500 2,500	11.05 11.58 11.35
10/01 Q4		11.00 11.00	5.00 5.00	2,500 2,500	11.58 11.58
1983	365	10.78	5.00	2,500	11.36
1984 01/01 03/19 Q1	12	11.00 11.50 11.07	5.00	2,500 2,500 2,500	11.58 12.11 11.65
04/01 04/05 04/27 06/01 06/25 02	22 35 24 5	11.50 12.00 12.31 12.50 13.00 12.28	5.00 5.00 5.00 5.00 5.00 5.00	2,500 2,500 2,500 2,500 2,500 2,500	12.11 12.63 12.96 13.16 13.68 12.92
07/01	31	13.00	5.00	2,500	13.68

08/01	58	13.00	2.50	1,250	13.33
09/27	3	12.75	2.50	1,250	13.08
Q3	92	12.99	3.34	1,671	13.44
10/01	16	12.75	2.50	1,250	13.08
10/16	13	12.50	2.50	1,250	12.82
10/29	10	12.00	2.50	1,250	12.31
11/08	20	11.75	2.50	1,250	12.05
11/28	22	11.25	2.50	1,250	11.54
12/20	11	10.75	2.50	1,250	11.03
Q4	92	11.82	2.50	1,250	12.12
1984	365	12.04	3.95	1,976	12.54
1985 01/01 01/15 Q1	15 76 91	10.75 10.50 10.54	2.50 2.50 2.50	1,250 1,250 1,250	11.03 10.77 10.81
04/01	50	10.50	2.50	1,250	10.77
05/20	29	10.00	2.50	1,250	10.26
06/18	12	9.50	2.50	1,250	9.74
Q2	91	10.21	2.50	1,250	10.47
07/01	92	9.50	2.50	1,250	9.74
Q3	92	9.50	2.50	1,250	9.74
10/01	92	9.50	2.50	1,250	9.74
Q4	92	9.50	2.50	1,250	9.74
1985	366	9.94	2.50	1,250	10.19
1986 01/01 03/07 Q1	66 24 90	9.50 9.00 9.37	2.50 2.50 2.50	1,250 1,250 1,250	9.74 9.23 9.61
04/01	21	9.00	2.50	1,250	9.23
04/21	70	8.50	2.50	1,250	8.72
02	91	8.62	2.50	1,250	8.84
07/01	11	8.50	2.50	1,250	8.72
07/11	46	8.00	2.50	1,250	8.21
08/26	35	7.50	2.50	1,250	7.69
03	92	7.87	2.50	1,250	8.07
1986	273	18.61	2.50	1,250	8.83